



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>5</sup> :</b> <b>C07K 17/08, 3/12, A61K 37/02</b> <b>A61K 47/48</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 90/04606</b> <b>(43) International Publication Date:</b> 3 May 1990 (03.05.90)
<b>(21) International Application Number:</b> PCT/GB89/01261 <b>(22) International Filing Date:</b> 20 October 1989 (20.10.89)  <b>(30) Priority data:</b> 8824591.5                      20 October 1988 (20.10.88)      GB  <b>(71) Applicant (for all designated States except US):</b> ROYAL FREE HOSPITAL SCHOOL OF MEDICINE [GB/ GB]; Rowland Hill Street, London NW3 2PF (GB).  <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only) :</b> FISHER, Derek [GB/ GB]; FRANCIS, Gillian, Elizabeth [GB/GB]; DELGA- DO, Cristina [ES/GB]; Royal Free Hospital School of Medicine, Rowland Hill Street, London NW3 2PF (GB).  <b>(74) Agents:</b> CRESSWELL, Thomas, Anthony et al.; J.A. Kemp & Co., 14 South Square, Gray's Inn, London WC1R 5EU (GB).		<b>(81) Designated States:</b> AT (European patent), BE (European patent), CH (European patent), DE (European patent), FR (European patent), GB (European patent), IT (Euro- pean patent), JP, LU (European patent), NL (European patent), SE (European patent), US.  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> A PROCESS FOR FRACTIONATING POLYETHYLENE GLYCOL (PEG)-PROTEIN ADDUCTS AND AN ADDUCT OF PEG AND GRANULOCYTE-MACROPHAGE COLONY STIMULATING FACTOR  <b>(57) Abstract</b>  A process for fractionating a mixture of polyethylene glycol (PEG)-protein adducts comprising partitioning the PEG/pro- tein adducts in a PEG-containing aqueous biphasic system.		

***FOR THE PURPOSES OF INFORMATION ONLY***

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	ES	Spain	MG	Madagascar
AU	Australia	FI	Finland	ML	Mali
BB	Barbados	FR	France	MR	Mauritania
BE	Belgium	GA	Gabon	MW	Malawi
BF	Burkina Faso	GB	United Kingdom	NL	Netherlands
BG	Bulgaria	HU	Hungary	NO	Norway
BJ	Benin	IT	Italy	RO	Romania
BR	Brazil	JP	Japan	SD	Sudan
CA	Canada	KP	Democratic People's Republic of Korea	SE	Sweden
CF	Central African Republic	KR	Republic of Korea	SN	Senegal
CG	Congo	LI	Liechtenstein	SU	Soviet Union
CH	Switzerland	LK	Sri Lanka	TD	Chad
CM	Cameroon	LU	Luxembourg	TG	Togo
DE	Germany, Federal Republic of	MC	Monaco	US	United States of America
DK	Denmark				

A process for fractionating polyethylene glycol (PEG)-protein adducts and an adduct of PEG and granulocyt-macrophage colony stimulating factor.

The present invention relates to a process for fractionating polyethylene glycol-protein adducts.

Polyethylene glycol is a long chain, linear synthetic polymer composed of ethylene oxide units,  $\text{HO}(\text{CH}_2\text{CH}_2\text{O})_n\text{CH}_2\text{CH}_2\text{OH}$ , in which  $n$  can vary to provide compounds with molecular weights from 200-20,000. It is non-toxic and has been administered orally and intravenously to humans (PEG-adenosine deaminase for severe combined immunodeficiency disease; PEG-asparaginase for acute lymphoblastic leukaemia; PEG-superoxide dismutase for oxygen toxicity (3-7)). PEG can be coupled to proteins following appropriate derivatisation of the OH groups of the PEG. The  $\text{NH}_2$  groups of lysine side chains are particularly accessible sites and either few or many sites can be modified. Given adequate technology for their production, PEG-modified proteins have numerous therapeutic and other applications. Many proteins of potential clinical use have extremely short half lives necessitating administration by continuous infusion (an expensive, unpleasant and potentially hazardous procedure). PEG modification extends plasma half lives and has been used to increase the bio-availability of enzymes (see below). Reduction of antigenicity of proteins is also produced by PEG modification and this will extend their clinical use allowing more protracted administration. In addition, with proteins having pleiotropic biological

- 2 -

effects, PEG modification creates products with a new spectrum of activities, because of differential loss of separate biological properties. With antibodies, for example, PEG modification dissociates antibody binding and complement fixing activities. PEG modification also alters biochemical and physical properties of proteins in ways that may increase their usefulness (e.g. increased solubility; increased resistance to proteolytic degradation; altered kinetics, pH and/or temperature optima and changed substrate specificity of enzymes. This covalent modification of proteins has a number of consequences:-

(i) Increased plasma half-life: This has been found with numerous proteins (See Table 1 and reference 8-17) and has already been exploited clinically. Two children with adenosine deaminase deficiency were successfully treated with PEG-modified bovine adenosine deaminase (18). In acute lymphoblastic leukaemia, 74% of 20 patients achieved complete or partial remissions with PEG-asparaginase (5). Increased half-life and enhanced antitumour potency was also observed with PEG-interleukin 2 in the Meth A murine sarcoma model (19). The basis for this increase in half-life is not understood and may include such factors as reduction of glomerular filtration of small peptides because of the increase in size due to PEG modification (19). The increase in biological potency (which may relate to other phenomena in addition to the increased half-life) is potentially very

- 3 -

important in the use of PEG-cytokine adducts are pharmacological agent in cancer therapy.

Table I

The known effects of linking PEG to proteins upon their circulation half lives.

PROTEIN	ANIMAL	HALF LINE (HOURS)		REFERENCE
		native protein	PEG-protein	
asparaginase	man	20	357	8.
glutaminase-asparaginase	man	<0.5	72	9.
uricase	man	<3	8	10.
glutaminase-asparaginase	mouse	2	24	11.
asparaginase	mouse	<6	96	12.
arginase	mouse	<1	12	13.
superoxide dismutase	mouse	0.06	16.5	14.
lactoferrin	mouse	0.05	1	14.
streptokinase	mouse	0.07	0.33	15.
plasma-streptokinase complex	mouse	0.05	0.22	15.
adenosine deaminase	mouse	0.5	28	16.
asparaginase	rat	2.9	56	17.

SUBSTITUTE SHEET

- 4 -

ii) Altered biochemical and physical properties:

These include increased solubility (20), because of the addition of hydrophilic PEG chains (useful for proteins like interleukin 2 which have limited solubility at physiological pH (19)), increased resistance to proteolytic degradation (21), changes in kinetics or pH and temperature optima or substrate specificity of enzymes (10,20,22,23)). Relevant to the present project are observations which suggest differential effect on function e.g. complement fixing activity and antigen-binding are lost and retained respectively after PEG-modification of IgG (24). PEG-ribonuclease has an altered activity for high but not low molecular weight substrates (25). To some extent, these effects can be controlled by varying the number of sites on the protein modified and the length of the PEG polymer.

(iii) Reduced antigenicity: this includes reduced ability to react to antibodies to the unmodified protein and low immunogenicity of the PEG-proteins themselves (26).

Coupling of PEG to proteins is usually achieved by activation of the hydroxyl groups of PEG with a suitable reagent that can be fully substituted by nucleophilic groups in the protein (mainly lysine E-amino groups) (27). Cyanuric chloride has been the most widely used agent for activation of PEG and this requires a very basic pH for the subsequent coupling step with the protein to be modified (28,27). In

**SUBSTITUTE SHEET**

- 5 -

order to avoid these adverse conditions (particularly important when dealing with labile proteins like growth factors), alternative methods have been sought. However, 1,1'-carbonyldiimidazole requires very long times for the coupling step (14) and using phenylchloroformates does not avoid the need for basic pH (25).

Although much of this information has been available for many years, PEG-proteins are not widely available commercially.

Tresyl chloride (2,2,2,-trifluoroethane-sulphonyl chloride) has proved useful for activating agarose and other solid supports carrying hydroxyl groups so that they may be coupled to proteins. The attraction of this method is that coupling to proteins takes place quickly and under very mild conditions (28,29). We have successfully applied this approach to the activation of monomethoxyPEG (MPEG), this has a single free derivatisable OH group. We have demonstrated the subsequent coupling of MPEG to both antibodies (30) and albumin (see example 1), under mild conditions (pH 7.5 phosphate buffer, at room temperature). An advantage over previous techniques is that the reaction mixture is innocuous and does not have to be removed before the PEG-protein is used. We have also developed a technique to neutralise excess tresyl-PEG after the coupling step (to prevent reaction with other proteins and/or cells) thus avoiding the need for laborious chromatography or

- 6 -

ultrafiltration to remove it. These improvements are of importance when applying the method to labile growth factor proteins, which are notoriously sensitive to manipulations such as ultrafiltration.

Given acceptable (non-denaturing) conditions for the coupling step, there are two main variables that will affect the biological properties of the PEG-proteins and these may be controlled in the manufacturing process. One is the length of the PEG molecules attached per protein molecule and the second is the number of PEG molecules per protein.

Where proteins have several lysine groups, varying the molar ratio of activated MPEG to protein influences the degrees of substitution markedly (see example 2). What is needed is a means of determining what degree of substitution gives the best outcome vis a vis the desired biological properties and then to devise a manufacturing scheme which best achieves this degree of substitution. Biochemical monitoring methods are cumbersome (2) and do not give an estimate of the variability in substitution of the population of modified protein molecules. They also do not allow recovery of materials with different degrees of substitution (the latter is difficult to control by altering molar ratios, since a wide distribution of degrees of substitution is observed at any given molar ratio, until full substitution is approached at high molar ratios (see example 2). Both analytical work to determine which degree of substitution



- 7 -

produces the optimum effect and the manufacturing process requires a means of fractionating peptides/proteins with different (and preferably precisely defined) degrees of substitution. The problem is likely to be widespread since most clinically useful proteins have several lysine residues (Table II).

Table II

<u>Growth Factor</u>	<u>Lysine Residues</u>	<u>Total Amino Acids</u>
Interleukins:		
Interleukin 1	19	271
Interleukin 2	10	153
Interleukin 3	9	166
(mast cell growth factor; similar to 113)		
Interferons:		
gamma	20	146
fibroblast (beta)	11	166
leukocyte (beta)	8	166
G-CSF	4	178
GM-CSF	6	144

SUBSTITUTE SHEET

- 8 -

Although PEG-modification of over a dozen proteins has now been described, frequently in extensive practical detail, little attention has been given to the PEG-proteins being heterogeneous in their degree of substitution with PEG (23).

The partitioning behaviour of PEG-protein adducts in PEG-containing aqueous biphasic systems has not been previously defined, nor has the relationship between degree of PEG substitution and partitioning coefficient. On investigating the partitioning behaviour in such systems we have surprisingly discovered that PEG-containing aqueous biphasic systems are uniquely tailored to separating PEG-proteins sensitively and can thus be used to monitor the effect of degree of modification on biological properties and, on a bulk scale, to prepare PEG proteins of specified degrees of substitution.

The invention therefore provides a process for fractionating a mixture of PEG-protein adducts comprising partitioning the PEG-protein adducts in a PEG-containing aqueous biphasic system. Preferably the process further comprises the step of recovering a PEG-protein adduct of pre-determined degree of PEG substitution from one phase of the biphasic system. Whilst any PEG protein adduct mixture may be fractionated in accordance with the invention, it is preferred to use adducts of monomethoxyPEG preferably those formed by reaction of the protein with tresyl

- 9 -

monomethoxyPEG, (TMPEG). In a particular aspect of the invention, unreacted TMPEG is destroyed or the adduct forming reaction is quenched, by addition of lysine or albumin. Partitioning may be performed batchwise or continuously, for instance by counter currents of the two phases and may be repeated to obtain additional fractionation.

The analysis of the extent of modification (molar ratios) of PEG to protein.

We have established using phase partitioning in an aqueous biphasic system of PEG and dextran that there is a linear relationship between log of partition coefficient of PEG-proteins and the number of amino acids coupled to PEG. This relationship has not, as far as we are aware, been established before and although a log linear relationship was predicted, there is a significant departure from the theoretical predicted behaviour. The parameters of the regression are not those predicted on the basis of the partitioning behaviour of the two components. This discovery is therefore not implicit in prior work. The basis of the method is that coupling PEG to proteins naturally increases affinity for the PEG-rich upper phase and hence increases the partition coefficient (concentration in top phase/concentration in bottom phase). The exponential nature of this relationship makes partitioning a very sensitive method with which to monitor modification. This invention is described in detail in Example 1 below. The equation for the

- 10 -

regression is also used to analyse the heterogeneity of substitution of the protein preparation and to define the extent of substitution present in individual fractions.

Methodology for the analysis of the heterogeneity of modification (i.e. the range of PEG molecules per protein molecule) produced under individual reaction conditions.

Using phase partitioning in conjunction with counter current distribution to perform serial transfers on the PEG-modified

Surprisingly, this relationship does not hold for all PEG-modified proteins. With some there is a complex relationship between the log of partition coefficient and modification. This discovery is not implicit in prior work. This observation could be based on many factors, including aggregation, denaturation and concomitant change in surface properties, change in isoelectric point. Without wishing to be bound by theory, this result (see Example 3) emphasises the need for analytical phase partitioning as a prerequisite to use of phase partitioning to fractionate PEG modified proteins.

Protein discriminates between proteins that have been modified homogeneously or heterogeneously. Homogeneously modified proteins have identical partition coefficients whereas we have shown that for heterogeneously modified proteins there is an increment in partition coefficient over the range of fractions that contain the protein. Using the

SUBSTITUTE SHEET

- 11 -

equation based on the analysis of method 1 the degree of substitution in individual fractions can be calculated, and the heterogeneity of the sample prepared at given molar ratios can be characterised. This method has not, as far as we are aware previously been applied to demonstrate the heterogeneity of substitution of PEG-protein adducts. The details of this method are given in Example 2 below.

Separation of proteins and/or peptides modified to different extents.

Given the consideration spread of the degree of substitution obtained at given (subsaturating) molar ratios in the coupling step, it is necessary to examine the relationship between substitution and the biological properties of the proteins (both desired and undesired) to determine at which PEG-protein ratio the optimal function of the protein is achieved. This may need to be performed as a matrix varying the length of PEG as well as the degree of substitution.

This method uses preparative-scale phase partitioning in conjunction with countercurrent distribution to fractionate PEG-proteins substituted to different degrees by PEG.

Having established which partition coefficient relates to optimal protein properties, preparative phase partitioning (with or without countercurrent distribution) can then be used to fractionate proteins with the desired

- 12 -

degree of substitution. This may be necessary in the manufacturing process, if the degree of substitution is critical to obtaining optimal biological properties and if a sufficiently precise degree of substitution cannot be achieved by altering the reaction condition for PEG coupling.

#### Uses of the Process

##### i) Preparation of PEG-proteins adducts for clinical use

Genetically engineered proteins have many potential clinical roles and we cannot therefore give extensive examples here. PEG has been used to modify many classes of proteins including enzymes, antibodies, peptide hormones, growth factors, and cytokines. The increasing production of proteins for clinical use using recombinant DNA techniques greatly increases the availability of such proteins for clinical and other uses. The haemopoietic growth factors, for example, have a dramatic effect in reducing the cytopenias induced by chemo- and radiotherapy (31-33). Differentiation factors and cytokines are also showing promise in the therapy of neoplasia, both through direct anti-tumour effects and by modulating host response (reviewed in 4,5). Bio-active peptides are also undergoing clinical trials and the use of peptide hormones (e.g. insulin) is well established).

There are, however limitations to the use of these proteins which can be solved by the manufacture of suitable PEG-protein adducts. The first is that they are rapidly

- 13 -

cleared and thus often require continuous infusion. They are also expensive to produce and thus in limited supply, particularly in the early stages of development. Antigenic and physical or biochemical properties of the proteins may also be undesirable (as mentioned above). In addition some factors have pleiotropic actions which if modified independently produce proteins with new potential clinical uses. For example, some factors are potent differentiation inducers but also have a growth stimulating effect which makes them unsuitable for use in differentiation therapy of malignancy, in their native state. Production of a PEG-protein adduct retaining only one of these properties produces a new factor with a different range of clinical uses.

The methods described allow analysis of the relationship between PEG-protein ratios and biological properties so that the best ratio of substitution can be selected. The analytical method also gives essential information for the design of a preparation scheme for manufacturing and/or fractionating PEG-protein adducts of the desired degree of substitution (i.e. with the desired biological properties).

ii) As a Research Tool

The method also has potential research applications. By analysing the way in which varying the degree of substitution by PEG influences the biological

- 14 -

properties of the protein, the range of substitutions which promotes (or inhibits) a specific property can be established. Using the preparative method to prepare a series of fractions of varying degrees of substitution one can then establish biochemically (for example by peptide mapping) the locations of the amino acids modified at the various degrees of substitution. This will vary as the molar ratio in the coupling step is increased with the most to the least readily modified acceptor site. This will allow determination of which locations on the protein are associated with individual biological properties.

THE INVENTION IS ILLUSTRATED IN THE FOLLOWING EXAMPLES

All reagents used were ANALAR grade. In the specific products origin is indicated.

Preparation of tresylated monomethoxypolyethylene glycol (TMPEG)

To avoid hydrolysis of tresyl chloride, all reagents were dried before use.

a) Drying Monomethoxypolyethylene glycol

MPEG (Mr 5000, Union Carbide, USAO was dissolved in benzene (B.P. 79-80°C) and the water-organic azeotrope (B.P. 65°C) was distilled off. MPEG was recovered by removal of solvent under reduced pressure, and was finally dried by leaving overnight at room temperature under vacuum.

SUBSTITUTE SHEET



- 15 -

b) Drying dichloromethane

Dichloromethane (ANALAR from British Drug House, Poole, U.K) was dried over molecular sieve A3 (100g per litre of solvent) overnight at room temperature.

c) Activation of MPEG with tresyl chloride

Activation of MPEG-5000 with tresyl chloride was carried out using a molar ratio of tresyl chloride to available hydroxyl groups in MPEG of 2.5:1.

Dry MPEG (18 g. 3.5 mmol) was dissolved in dry dichloromethane (45 ml) at room temperature. The mixture was cooled to 0°C, stirred magnetically and 1.125 ml (14 mmol) pyridine (BDH, U.K.) and 1 ml (9 mmol) of tresyl chloride (Fluka AG, Switzerland) at 0°C were added dropwise. The reaction was allowed to continue at room temperature with constant stirring for 1.5 hr before the dichloromethane was removed by evaporating under reduced pressure. The white solid was dried under vacuum overnight at room temperature.

d) Washing the TMPEG

TMPEG was suspended in methanol-HCL mixture (250:1) and allowed to precipitate at -20°C for 8 hr. The white solid produced was collected at 0°C and the filtrate checked for pyridine content (255 nm). This procedure was repeated by using methanol-HCl (1000:1) as washing mixture until no pyridine could be detected. Finally, the pyridine free TMPEG (12-14 g; 65-75% yield) was dried under vacuum for several hours at room temperature.

- 16 -

The sulphur content of the white solid obtained was 0.5%. Theoretical content of 1 tresyl group per molecule of MPEG is 0.62% considering an average molecular weight of 5000 for the activated polymer. Therefore, approximately 80% of hydroxyl groups in the MPEG were transformed into tresyl esters.

Tresylated MPEG was shown to be stable when stored at room temperature up to 3 months. TMPEG samples taken from one batch of the product at different times since the production were reacted with BSA. The product MPEG-BSA was analysed by partitioning in aqueous PEG-dextran two-phase systems. Partition coefficients,  $K$ , of MPEG-BSA samples obtained during that period were within the range 0.9-1.2 (Log  $K$ ) indicating stability of the TMPEG preparation.

e) Coupling of TMPEG to protein

Bovine serum albumin (98-99%, Sigma Chemical Co. (U.S.A) was used. Coupling was carried out at room temperature in sodium phosphate buffer (pH 7.5) containing sodium chloride (see details at the bottom legend for each figure). Appropriate volumes of protein and TMPEG solutions made up in the corresponding coupling buffer were mixed and left under gentle stirring at room temperature. At intervals samples were withdrawn and analysed as described below.

f) Analysis of native and MPEG-modified protein

i) Primary amino groups in native albumin were estimated by the sodium trinitrobenzene sulphonate (TNBS)

SUBSTITUTE SHEET

- 17 -

method in which the UV absorption of the TNBS-primary amine conjugate is measured (36). Since PEG interferes with this method, the primary amino groups in PEG-modified albumin and unmodified control were determined by the fluorometric assay described by Stocks et al (37).

ii) Partition coefficients of both native and MPEG-modified albumin were measured at 25°C in single tubes containing 1g of a two-phase system of 4.75% (w/w) PEG-6000 (Lot 9159110, BDH, UK), 4.75% (w/w) Dextran-T500 (Dx) (Lot 38624, Pharmacia, Sweden), 0.01M sodium phosphate buffer pH 6.8, 0.15M sodium chloride. The phase system was prepared from stock solutions of 40% PEG, approx. 20% Dextran (standardised by polarimetry), 0.44 sodium phosphate buffer pH 6.8 and 0.6 M sodium chloride. Albumin and albumin coupled to MPEG were incorporated into the phase system by replacing 0.1 g of the water used to phases by 0.1 g of solutions of albumin and PEG-albumins in the coupling buffer.

After mixing 30-40 times by inversion the mixture was left to settle until complete separation of the phases was achieved. Aliquots from top and bottom phases were analysed for protein concentration. The partition coefficient is the ratio of protein in the top and bottom phases.

iii) Protein concentration was measured by Coomassie Brilliant Blue assay (38). This assay has been demonstrated to detect low concentrations of proteins and is not subject

SUBSTITUTE SHEET

- 18 -

to any interference with either PEG or Dextran, such as occurs with the Lowry method (39).

(iv) Counter-current distribution

Counter-current distribution (CCD) of albumin and MPEG-modified albumin was carried out in a phase system formed by 4.75% (w/w) PEG, 4.75% (w/w) Dx and 0.15 M sodium chloride buffered with 0.01 M sodium phosphate pH 6.8. The phase system was prepared by mixing required quantities of 40% (w/w) PEG-6000 (Lot 9159110, BDH, UK), 20% (w/w) Dx-T500 (Lot MI 02434, Pharmacia, Sweden), 0.6 M sodium chloride, 0.44 M sodium phosphate buffer pH 6.8 and distilled water. Once the top and bottom phases had separated at 25°C until required.

A automatic thin-layer counter-current distribution apparatus BIOSHEF TLCCD MK2 constructed at the University of Sheffield (UK) was used (40). Distribution rotor consists on 60 cavities which were filled as follows: 0.823 ml of bottom phase and 0.823 ml of top phase were loaded into cavities 2 to 30 and 32 to 60. Cavities 1 and 31 were filled with 0.823 ml of bottom phase and 0.823 ml of top phase of biphasic system containing sample. This was prepared from the same stock solutions as the bulk system but replacing the distilled water by a solution containing the relevant protein and was made up immediately before running the experiment. The settling time 7 min and the shaking time 25 secs.

- 19 -

After completion of 30 transfers at room temperature, the content of the cavities were collected directly into plastic tubes. Contents of each alternate cavities were diluted with 0.8 ml of 0.15 M sodium chloride buffered with 0.01 M sodium phosphate pH 6.8 to break the phase system. Protein concentration was then measured by the Bradford assay to obtain the distribution profile. The remaining tubes, which still contained two phases were used to determine the partition coefficients of the protein by measuring the protein concentrations in the top and bottom phases.

#### EXAMPLE 1

##### MODIFICATION OF ALBUMIN WITH MPEG AND PARTITIONING BEHAVIOUR OF THE COMPLEX MPEG-BSA

BSA was chosen as a well-characterised protein with 60 lysyl residues per molecule (41). The BSA powder supplied by Sigma showed  $61 \pm 6$  ( $n=12$ ) amino groups per molecule and therefore, was used without purification.

The protein was incubated with TMPEG at room temperature in 0.2 M sodium phosphate buffer pH 7.5 containing 0.5 M sodium chloride. The final concentration of BSA was 1.5 mg/ml and the molar ratio TMPEG to lysyl residues was 16 to 1. Partition coefficient,  $K$ , of the protein was measured

- 20 -

after 30,60,90 and 120 min of incubation. As it is shown in figure 1, K increases as the incubation of BSA and TMPEG proceed for the first hour and then reach a "plateau".

The increase in K indicates that the MPEG has been linked to the BSA; the surface of the protein become more PEG-like and as a consequence is directed towards the PEG-rich top phase of the biphasic system. This is an example of affinity partitioning extensively described elsewhere (42,43). We have observed that BSA incubated with ordinary MPEG did not increase its partition coefficient (data not shown).

Therefore, it is possible to state that the increase in partition coefficient (Figure 1) is due to a covalent linkage of the MPEG to the protein rather than to an adsorption of the polymer onto the protein. The constant K value obtained for the MPEG-BSA complex beyond 1 hr of incubation (Figure 1) demonstrates the maximum change in K that can be achieved and probably indicates saturation of available PEG-binding sites.

In order to construct PEG-protein adducts with varying degrees of substitution, we investigated the influence of the molar ratio TMPEG to BSA (lysyl residues) in the formation of the complex MPEG-BSA. An incubation time of 2 hrs was used. As shown in figure 2 an increase in the molar ratio TMPEG to lysyl groups up to over the range 2:1 to 16:1 leads to a progressive increase in the partition coefficient of the BSA.

**SUBSTITUTE SHEET**

- 21 -

Relationship between partition coefficient and degree of modification

Partitioning in aqueous two-phase systems provides a means of analysing qualitatively and quantitatively the coupling of TMPEG to albumin without requiring any purification of the complex MPEG-albumin (see material and methods). This is of considerable advantage over other methods in which the adduct MPEG-protein must be separated from the unreacted MPEG (44).

To establish the quantitative relationship between the partition coefficient,  $K$ , and the degree of modification, the latter was measured by the reduction in primary amines in the relationship between the log of the partition coefficient and the degree of substitution of the amino groups over the range studied (0.-30% modification) (Fig. 3;  $r = 0.96$ ,  $p < 0.001$ ).

Brooks et al (45) have predicted that  $K$  for the modified protein ( $K_{pL}$ ) should be related to  $K$  of both free protein ( $K_p$ ) and ligand ( $K_L$ ) as well as the number of attached polymer molecules ( $n$ ). They gave the equation  $K_{pL} = K_p \cdot K_L^n$ , which can be expressed as follows:

$$\log K_{pL} = \log K_p + n \log K_L$$

A linear relationship between log of partition coefficient for the modified protein and the number of molecules of ligand attached is then predicted with a slope and intercept

**SUBSTITUTE SHEET**

- 22 -

of  $\log K_L$  and  $\log K_p$ , respectively.

From figure 3, the intercept was found to be -0.36 in good agreement with the experimental value of  $-0.39 \pm 0.09$  (mean  $\pm$  SD,  $n=5$ ) for the  $\log K$  of the unmodified albumin. However, the slope ( $\log K_L$ ) was 0.08, much less than the experimental value obtained by independent measurement of the partitioning of PEG ( $^{14}\text{C}$ -PEG-4000) in the phase system ( $\log K = 0.4 \pm 0.002$ , mean  $\pm$  SD,  $n=3$ ). Such a discrepancy between the experimental and the calculated partition coefficient for the MPEG is unlikely to be due to overestimation of the number of modified amino groups since this would have to be an overestimation of 80% to produce the results obtained here.

Sharp et al. (1) have measured the partition coefficient of MPEG-IgGs modified to different extents and noted that if the Brookes equation was used to calculate the number of MPEG molecules attached to the protein on the basis of partition coefficient this markedly underestimated the value obtained when this was measured directly by using a MPEG labelled with  $^{14}\text{C}$ . These authors did not however establish the relationship between substitution and  $\log K$ .

## EXAMPLE 2

### DEMONSTRATION OF THE HETEROGENEITY OF MPEG-MODIFIED ALBUMIN BY COUNTER-CURRENT DISTRIBUTION

Having demonstrated the relationship between the



- 23 -

partition coefficient of albumin and the degree of modification with MPEG we used multiple partitions (i.e. counter-current distribution, CCD) to analyse chromatographically the MPEG-albumin.

Figure 4 shows CCD profiles of albumin, MPEG-modified albumins 1 & 2. These two last were obtained by incubation of albumin with TMPEG by using TMPEG:lys molar ratios of 2 to 1 and 16 to 1, respectively. Partition coefficients for the protein present in each fraction are also shown.

Unmodified albumin is distributed between fractions 7 and 13, to the left of the CCD train (Fig. 4 top). The position of the distribution peak on the left hand side of the CCD train means albumin partitions in favour of the bottom phase of the biphasic system (i.e. low partition coefficient), in agreement with the observations in single tube partitioning (Figs.1 and 2). The constant value for the partition coefficient of the unmodified albumin all along the distribution peak (Fig. 4, top) is consistent with homogeneity of the protein preparation.

MPEG-albumin<sub>1</sub> shows a CCD profile between fractions 14 and 26 (Fig. 4, middle) towards the right of that for unmodified albumin (Fractions 7-15, fig 4, top). This result reflects the higher partition coefficient obtained in single tube partition obtained in single tube partitions for modified albumin compared with unmodified albumin (Figs.1 and 2). The partition coefficient of albumin present in any of

- 24 -

the fractions between fractions 14 and 26 was higher than that for the unmodified albumin (Fig.4, middle and top). Furthermore, the former partition coefficients were not constant as in the case of unmodified albumin, but increased progressively from the left-hand side to the right-hand side of the distribution profile (Fig. 4, middle). Because of the relationship between the partition coefficient and the degree of modification (Fig. 3), this heterogeneity of partitioning indicates that MPEG-albumin, consists of a mixture of albumins modified with MPEG-modified albumins can be fractionated by counter-current distribution on the basis of the degree of modification.

The CCD profile for MPEG-modified albumin<sub>2</sub> (TMPEG:Lys molar ratio of 16 to 1), which showed the highest partition coefficient in single tubes (Fig. 2), was located towards the right of the CCD train, between fractions 23 and 28 (Fig.4, bottom). The partition coefficients of albumin present in these fractions (Fig.4, bottom) was higher than those corresponding to albumin in fractions 1 to 23 (Fig. 4, top and middle). It should be noted that MPEG-modified albumins located in fractions 24 and 26 have the same partition coefficient independently of being present in either the complex MPEG-albumin<sub>1</sub>, (Fig.4, middle) or MPEG-albumin<sub>2</sub> (Fig. 4, bottom).

Using the equation defining the relationship between log K and degree of substitution calculated from the regression

**SUBSTITUTE SHEET**

- 25 -

of Figure 3 ( $\log K = 0.084x_n - 0.36$ ) the extent of modification (n) can be estimated for the individual fractions (table II).

TABLE II

MPEG-albumin<sub>1</sub>, (Fig.4, middle)

Fraction	log K	Calculated No. of NH <sub>2</sub> modified*
14	-0.38	0
16	0.024	4.6
18	0.33	8.2
20	0.52	10.5
23	0.90	15.0
25	2.00	28.1
27	2.00	28.1

MPEG-albumin<sub>2</sub> (Fig. 4, bottom)

25	2.00	28.1
27	2.00	28.1
29	2.00	28.1

\* Note this estimate will have to be corrected if there is significant heterogeneity of chain length in the TMPEG preparation used, since this influences partition coefficient of the PEG-protein adducts (1).

SUBSTITUTE SHEET

- 26 -

EXAMPLE 3DEMONSTRATION THAT THE PARTITIONING BEHAVIOUR OF SOME MPEG-  
PROTEINS DEVIATES FROM THE BEHAVIOUR ILLUSTRATED FOR MPEG-BSA  
IN EXAMPLE 1

The protein granulocyte-macrophage colony stimulating factor gm-CSF was coupled to MPEG as described for albumin. To generate PEG-gm-CSF complexes with different degrees of substitution by PEG, a range of PEG:lysine molar ratios was used for the coupling reaction (10:1 to 1000:1). This protein has 6 lysines so that species with 1 to 6 substitutions are theoretically possible. Commercially labelled gm-CSF-I<sup>125</sup> (Amersham) which is biologically active was used for these experiments. The FPLC profiles of similar experiments in which the molar ratio was changed (see Example 4 below) establish that progressive substitution does take place with increasing molar ratios.

With increasing molar ratios, log K increases and then falls (Figure 5). This demonstrates that, unlike BSA, the expected log linear relationship predicted by the Brook equation does not follow. In the same experiment gm-CSF denatured by boiling had a reduced log K, suggesting that conformational changes in the protein had altered surface properties in such a way as to reduce partitioning to the PEG phase. With this material there was a more pronounced increase in K at lower molar ratios. This presumably reflects greater ease of PEG-modification due to the more

**SUBSTITUTE SHEET**

- 27 -

open structure of the denatured protein (this suggestion is apparently confirmed by FPLC where PEGylation at 305:1 TMPEG:lysine produces a larger high molecular weight peak with denatured gm-CSF (Figure 6)).

Although this may seem a disadvantage of the method, it should be noted:

- 1) that the K values did not fall below that for the unmodified protein (thus still potentially allowing CCD separation).
- 2) with the non-denatured material the fall in K occurs only when the protein is subjected to very high molar ratios (not those in the range likely to be used to produce biologically active PEG-gm-CSF).
- 3) Alternative methods, FPLC and PAGE, were not superior in performance to CCD (see below).

#### EXAMPLE 4

#### DEMONSTRATION OF THE PRODUCTION OF HETEROGENEOUS MODIFIED PRODUCTS AT SINGLE MOLAR RATIOS OF TMPEG TO LYSINE FOR GM-CSF

Representative experiments are shown in Figures 7a and 7b, in which gm-CSF was exposed to a range of molar ratios of TMPEG to lysine. FPLC reveals that with the exception of the lowest molar ratios, where only a very small proportion of the material is modified (on a single lysine on the basis of the shift in apparent molecular weight, cf. Katre's

- 28 -

experience with PEG-IL2 (19)), the material is heterogeneous. Even though FPLC is used here to demonstrate heterogeneity there are several disadvantages of the method (see below).

This example demonstrates that the problems of achieving uniformly modified PEG-protein complexes are not confined to large proteins with many lysines such as albumin.

#### EXAMPLE 5

##### DISADVANTAGES OF FPLC AND PAGE THAT EMPHASISE THE NEED FOR THE NEW METHOD

##### 1) Demonstration that progressive ageing of TMPEG yields PEG-cytokine complexes that do not resolve on FPLC

We have found that MPEG induces a non-specific broadening effect with a change to slow elution, in FPLC profiles of proteins including BSA (Figure 8a) and gm-CSF. This is relevant to an observation made on FPLC profiles of TMPEG modified gm-CSF. We noted that as batches of TMPEG become older there is not only reduced activity as indicated by reduction in the number of modified species obtained at the same molar ratio of TMPEG to lysine, but also a progressive loss of resolution on FPLC profiles, accompanied by a reduced elution rate (Figure 8b). As tresyl groups are lost or become inactive, preparations are effectively contaminated with MPEG or an equivalent (unreactive TMPEG) and this, in view of the findings of Figure 8a, may explain the above observation.

- 29 -

Since uncoupled PEG (MPEG) does not significantly influence partitioning (Figure 9 shows the lack of effect on  $I^{125}$ -gm-CSF) we can state that inactive TMPEG or MPEG will not, in contrast to its adverse influence on FPLC, affect partitioning methods such as CCD.

Figure 10a and b shows that with CCD there is a clear discrimination of modified material from unmodified whereas with FPLC with an "aged" TMPEG preparation there was considerable overlap, and no clear resolution between modified and unmodified material.

In addition to this point, the finding with MPEG and FPLC indicate that if FPLC is required, the choice of neutralisation strategy should be considered. Albumin will yield PEG-albumin, lysine will yield a PEG derivative containing a carboxyl group, hydroxylamine will produce a PEG molecule with a terminal hydroxyl group. The effect of each neutralisation product needs to be examined with the specific PEG-protein being manufactured.

2) Apparent molecular weights on FPLC and PAGE of PEG-modified proteins do not relate simply to degree of modification.

In all experiments using TMPEG:lysine ratios sufficient to modify most of the protein molecules (e.g. of 305:1 or more), modified gm-CSF eluted both faster and slower than the unmodified material (Figure 11a and b).

Similar results were obtained with polyacrylamide gel

**SUBSTITUTE SHEET**

- 30 -

electrophoresis (Figure 12), where for both native and denatured rHu-gmCSF (lanes 12,14-16,19,20), the modification results in material running both before and after the unmodified band. However, this experiment demonstrates that unlike FPLC, PAGE is not detrimentally influenced by MPEG.

This demonstrates that the degree of modification, specifically the number of lysine residues modified by PEG, is difficult to infer from either method.

3) FPLC can conceal heterogeneity of the PEG-protein product

Although, to some extent FPLC reveals the heterogeneity of the product (cf. Example 4), as demonstrated below in Example 6, CCD reveals heterogeneity even in material with apparently simple profiles on FPLC.

EXAMPLE 6

CCD DEMONSTRATES HETEROGENEITY OF MODIFIED MATERIAL, EVEN WHEN NOT OBVIOUS ON THE BASIS OF FPLC

Counter current distribution profiles, analysed by a curve fitting algorithm, allow calculation of the number of species (in this case various PEG-gm-CSF complexes) present. Comparison of the FPLC and CCD profiles in Figure 13, shows that the latter can reveal heterogeneity not prominent on the former.

The added benefit of being able to perform CCD on a

**SUBSTITUTE SHEET**



- 31 -

large scale are pertinent to the industrial production of PEG-proteins.

#### EXAMPLE 7

#### DEMONSTRATION THAT GM-CSF MODIFIED TO DIFFERENT EXTENTS HAS VARIED BIOLOGICAL ACTIVITY

Samples were taken from FPLC fractions of a modified sample (prepared at TMPEG:lysine 305:1), eluting a) faster than, b) with and c) slower than the unmodified material. Gm-CSF has many different biological activities, we chose to use its priming of f-met-leu-phe induced neutrophil oxidative burst activity (assessed by nitroblue tetrazolium reduction). Fractions were exposed to human peripheral blood neutrophils with FMLP in microtitre plates.

The biological activity of the fractions was normalised with respect to the protein by means of the radioactivity (using I<sup>125</sup> labelled gm-CSF, Amersham). Fractions ranged from no biological activity to 3-6 times the activity seen in fractions from the peak of unmodified material from an identical FPLC fractionation (Figure 14).

This example illustrates the need for precise control of, or fractionation of, the PEG-protein species being produced to achieve the desired biological activity.

#### **METHODS**

##### 1) FPLC

Samples were analysed on Pharmacia FPLC system with a

- 32 -

Superose-12 column previously equilibrated with coupling buffer. 200ul of the samples were loaded on to the column and then eluted with coupling buffer at a flow rate of 0.3mls per minute; 0.25ml fractions were collected.

Elution buffers varied, e.g. for some applications coupling buffer (0.05M sodium phosphate pH7.5 containing 0.125M NaCl) was used. Where cells were to be exposed directly to eluate, phosphate buffered saline pH 7.3 and circa 285mOsm was used. Proteins were detected by absorption at wavelength 280nm if sufficiently abundant, or by detection of radio-label ( $^{125}$ I).

## 2) SDS GEL ELECTROPHORESIS (PAGE)

15% polyacrylamide gel was used with a stacking gel. Samples were mixed with equal volume of loading buffer and then the resultant mixture was denatured by placing in a water bath at 100°C for 1 minute. 40ul of the denatured mixture was loaded per well and electrophoresis was carried out at 150 Volts; maximum current. The gel was dried onto Whatman No 1 filter paper and the gel was then autoradiographed at -70°C with a rare earth intensifying screen. The film was developed e.g. after a 4 day exposure.

## 2) NBT reduction test

Polymorphonuclear leucocytes (PMN) were isolated using the differential centrifugation method of Eggleton et al

- 33 -

(J.Immunol Methods 121(1989)105-113). The cell concentration used was  $1 \times 10^7$  per ml in Hanks balanced salt solution (HBSS). FMLP (SIGMA) was dissolved in dimethyl sulfoxide (DMSO, BDH) and used in a final concentration of  $1 \times 10^{-7}$  M in  $1 \times 10^{-2}$  % DMSO. The test solutions were aliquoted (30 ul) and filled into the wells of a microtitre plate (96 wells per plate, NUNC) in triplicates.

50 ul of HBSS containing 5.25 % of fetal calf serum was added to each well. The plates were warmed to  $37^{\circ}$  and 25 ul of prewarmed HBSS containing PMN was added. The cells were incubated for 2 hours for priming. 100 ul of prewarmed NBT solution (nitro blue tetrazolium grade III, SIGMA, 0.1 % w/v) containing FMLP was added to each well (the latter triggering NBT reduction). The reaction was stopped after 15 minutes on ice. Processing was done according to a modification of the method described by Rock (J.Immunol Methods 82(1985)161-167). The plates were centrifugated (1000 rpm, 6 min) and the supernatant was removed. After air drying for 3 min 250ul of 70% methanol was added. After centrifugation and removing of the supernatant the cells were lysed with 100 ul of 2 M KOH. 12 hours later 125 ul of DMSO was added to each well. Colorimetry was performed on a Titertek Multiscan reader (Flow Labs) set to Mode Abs 2 with Filter 7 (620nm) and Filter 3 (450nm).

**SUBSTITUTE SHEET**

- 34 -

## LEGENDS TO ADDITIONAL FIGURES

Figure 5 Phase-partitioning of  $I^{125}$  labelled recombinant human gm-CSF (rHu-gmCSF) was performed in a PEG/dextran system after TMPEG exposure to biologically active and denatured (boiled) protein. For both preparations, log K is curvilinear with respect to the coupling ratio (TMPEG:lysine). The latter determines the degree of modification (number of lysine groups reacted).

Figure 6 Boiling (lower panel) prior to TMPEG exposure of rHu-gmCSF at a TMPEG:lysine ratio of 305:1, increased the FPLC peak at the highest molecular weight (arrow). It reduced intermediate peaks 4 and 5 with respect to the unboiled control (Upper panel).

Figure 7 FPLC of two different batches of rHu-gmCSF- $I^{125}$ .

- a) Upper panel: unmodified rHu-gmCSF:  
Middle panel 305:1 TMPEG:lysine molar ratio.  
Lower panel 1000:1    "    "    "    "
- b) Upper panel 10:1    "    "    "    "  
Lower panel 305:1

Figure 8

- a) Exposure of MPEG to proteins prior to FPLC produces a broadening of profiles that could potentially interfere with FPLC of TMPEG modified proteins, if samples are contaminated with MPEG or inactivated TMPEG

SUBSTITUTE SHEET

- 35 -

b) Use of progressively older TMPEG (stored at room temperature under desiccation), significantly alters the FPLC profiles of the modified protein.

Figure 9

Comparison of the influence of TMPEG (upper panel) and MPEG exposure (lower panel) on the partitioning behaviour of rHu-gmCSF. The latter produces no significant increment in K.

Figure 10

Comparison of CCD (upper panel) and FPLC (lower panel) on rHu-gm-CSF exposed an aged (19 week old) sample of TMPEG at a TMPEG:lysine molar ratio of 305:1. Only the CCS successfully discriminated between modified and unmodified (arrowed) peaks.

Figure 11

PEG modified rHu-gmCSF (exposed to 305:1 TMPEG:lysine) runs both faster and slower than the unmodified material, demonstrating that no clear relationship between apparent molecular weight and number of lysine residues modified by PEG exists on FPLC.

Figure 12

Poly acrylamide gel electrophoresis of unmodified and

- 36 -

PEG modified rHu-gmCSF exposed to various molar ratios of TMPEG:lysine. lanes: 1= unmodified; 2=denatured; 3=exposed to TMPEG:lysine of 1000:1; 4=500:1; 5=305:1; 6=markers; 7=100:1; 8=10:1; 9=0:1; 10=MPEG:lysine of 305:1; 11=10:1; 12=denatured rHu-gmCSF modified at 100:1; 15=10:1; 16=0-1; 17=rHu-gm CSF modified at 1000:1; 18=rHu-gmCSF modified at 305:1; 19=denatured rHu-gmCSF modified at 305:1; 20=denatured rHu-gmCSF 0:1.

#### Figure 13

CCD (upper panel) and FPLC (lower panel) on rHu-gmCSF exposed to only 10:1 TMPEG:lysine. Whereas FPLC shows only a small peak of unmodified material the CCD profile demonstrates heterogeneity more clearly. The CCD profile has been fitted by a computer program (Blonquist and Wold, Acta Chem Scand B28,56:1974) and reveals 3 curves in addition to that in the location of the unmodified material (arrowed).

#### Figure 14

Neutrophil priming activity of rHu-gm-CSF measured by NBT reduction (see text) and normalised for the amount of protein present by expressing with respect to I<sup>125</sup> (c.p.m.) per fraction.

Fractions from FPLC of unmodified (crosshatched) and 305:1 modified rHu-gm-CSF (hatched) allow comparison of biological activity. The modified material contains species

**SUBSTITUTE SHEET**

- 37 -

with no activity and with higher activity than unmodified material.

SUBSTITUTE SHEET

---

- 38 -

## REFERENCES

- 1). Sharp, K.A., Yalpin, M., Howard, S.J. and Brooks, D.E. (1986). Anal Biochem. 154, 110-117.
- 2). Stocks, S.J., Jones, A.J.M., Ramey, C.W. and Brooks, D.E. (1986). A fluorimetric assay of the degree of modification of protein primary amines with polyethylene glycol. Anal. Biochem. 154, 232-234.
- 3). Abstracts in Biocommerce (1988) 10 (3):abstract 24769.
- 4). Abstracts in Biocommerce (1988) 10(3):abstract 24770.
- 5). Abstracts in Biocommerce (1988) 10(3):abstract 24771.
- 7). Abstracts in Biocommerce (1988) 10(3):abstract 24772.
- 8). Ho, D.H., Brown, N.S., Yen, A., Holmes, R., Keating M., Abuchowski, A., Newman, R.A. and Krakoff, I.H. (1986). Clinical pharmacology of polyethylene glycol-L-asparaginase. Drug Metabolism 14, 349-352.
- 9). Abuchowski, A., Davis, F.F. and Davis, S. (1981). Immunosuppressive properties and circulating life of Achromobacter glutaminase-asparaginase covalently attached to polyethylene glycol in man. Cancer Treatment Reports, 65, 1077-1081.
- 10). Davis, S., Park, Y.K., Abuchowski, A. and Davis, F.F. (1981). Hypouricaemic effect of polyethyleneglycol modified urate oxidase. Lancet, 281-283.
- 11). Abuchowski, A., van Es, T., Palczuk, N.C., McCoy, J.R. and Davis, F.F. (1979). Treatment of L5178V tumor-bearing BDF1 mice with a nonimmunogenic L-glutaminase-L-asparaginase. Cancer Treatment Reports 63, 1127-1132.
- 12). Bendich, A., Kafkeewitz, D., Abuchowski, A. and Davis,

SUBSTITUTE SHEET



- 39 -

F.F. (1982). Immunological effects of native and polyethylene glycol-modifies asparaginase from *Vibrio succinogenes* and *Escherichia coli* in normal and tumour-bearing mice. Clin. exp. Immunol, 48, 273-278.

13). Sacova, K.V., Abuchowski, A., van Es, T., Davis, F.F. and Paczuk, N.C. (1979). Preparation of a non-immunogenic arginase by the covalent attachment of polyethylene glycol. Biochim. Biophys. Acta 578, 47-53.

14). Beauchamp, C.O., Gonias, S.L., Menapace, D.P. and Pizzo, S.V. (1983). A new procedure for the synthesis of polyethylene glycol-protein adducts; effects on function, receptor recognition, and clearance of superoxide dismutase, lactoferrin, and 2-macroglobulin. Anal. Biochem. 131, 25-33.

15). Rajagopalan, S., Gonias, S.L. and Pizzo, S.V. (1985). A nonantigenic covalent streptokinase-polyethylene glycol complex with plasminogen activator function. J. Clin Invest 75, 413-419.

16). Davis, S., Abuchowski, A., Park, Y.K. and Davis, F.F. (1981).

Alteration of the circulating life and antigenic properties of bovine adenosine deaminase in mice by attachment of polyethylene glycol. Clin. exp. Immunol. 46, 649-652.

17). Kamisaki, Y., Wada, H., Yagura, T., Matsushima, A. and Inada, Y. (1981). Reduction in the immunogenicity and clearance rate of *Escherichia coli* L-asparaginase by modification with monomethoxypolyethylene glycol. J. Pharmacol. Exp. Therap. 216, 410-414

18). Hershfeld, M.J., Buckley, R.H., Greenberg, M.L., Melton,

**SUBSTITUTE SHEET**

- 40 -

A.L., Schiff, R., Hatem, C., Kurtzberg, J., Markert, M., Kobayashi, R.H., Kobayashi, A.L. and Abuchowski, A. (1987). Treatment of adenosine deaminase deficiency with polyethylene glycol-modified adenosine deaminase. *New Eng. J. Med.* 316, 589-596.

19). Katre, N.V., Knauf, M.J. and Laird, W.J. (1987). Chemical modification of recombinant interleukin 2 by polyethylene glycol increases its potency in the murine Meth A sarcoma model. *Proc. Nat. Acad. Sci.* 84, 1487-1491.

20). Chen, R.H.-L., Abuchowski, A., van Es, T., Palczuk, N.C. and Davis, F.F. (1988). Properties of two urate oxidases modified by the covalent attachment to poly(ethylene glycol). *Biochim. Biophys. Acta* 660, 293-298.

21). Lisi P.L., van Es, T., Abuchowski, A., Palczuk, N.C. and Davis, F.F. (1982). Enzyme therapy 1. Polyethylene glycol:B-glucuronidase conjugates as potential therapeutic agents in acid mucopolysaccharidosis.

22). Abuchowski, A. and Davis, F.F. (1979). Preparation and properties of polyethylene glycol-trypsin adducts. *Biochim. Biophys. Acta* 578, 41-46.

23). Wieder, K. J., Palczuk, N.C., van Es, T. and Davis, F.F. (1979). Some properties of polyethylene glycol:phenylalanine ammonia-lyase adducts. *J. biol. Chem.* 254, 12579-12587.

24). Suzuki, T., Kanbara, N., Tomono, T., Hayashi., No and Shinohara, I. (1984). Physicochemical and biological properties of poly(ethylene glycol)-coupled immunoglobulin G. *Biochim. Biophys Acta* 788, 248-255.

SUBSTITUTE SHEET

- 41 -

25). Veronese, F.M., Largajolli, R., Boccu, E., Benassi, C.A. and Schiavon, O. (1985). Surface modification of proteins. Activation of monomethoxy-polyethylene glycols by phenylchloroformates and modification of ribonuclease and superoxide dismutase. *Applied Biochem. Biotechnol.* 11, 141-152

26). Davis, F.F., Abuchowski, A., van Es, T., Palczuk, N.C., Sacova, K., Chen, H.-L. and Pyatak, P. (1980). Soluble, nonantigenic polyethylene glycol-bound enzymes. In "Biomedical Polymers. Polymeric Materials and Pharmaceuticals for Biomedical Use" (Ed E.P. Goldberg and A. Nakajima) pp 441-452. Academic Press, NY.

7). Harris, J.M. (1985). Laboratory synthesis of polyethylene glycol derivatives. *Rev. Macromol. Chem. Phys.* C25, 325-373.

28). Nilsson, K. and Mosbach, K. (1981). Immobilization of enzymes and affinity ligands to various hydroxyl group carrying supports using highly reactive sulfonyl chlorides. *Biochem. Biophys. Res. Commun.* 102, 449-457.

29). Nilsson, K. and Mosbach, K. (1984). Immobilization of ligands with organic sulfonyl chlorides. *Methods in Enzymology* 104, 56-69.

30). Delgado, C., Francis, G.E. and Fisher, D. (1988a). Coupling of PEG to proteins by activation with tresyl chloride. Applications in immunoaffinity cell partitioning. In "Advances in Separations Using Aqueous Phase Systems in Cell Biology and Biotechnology" (Ed D Fisher and I A Sutherland), Plenum Press, to be published.

- 42 -

- 31). Vadhan-Raj S, LeMaistre A, Keating M et al. (1987). Effects of recombinant human granulocyte-macrophage colony stimulating factor in patients with malignancy and in patients with bone marrow failure (abstract) Blood 70,144a.
- 32). Gabrilove J, Jakubowski A, Fain K et. al. (1987). A phase I/II study of rhG-CSF in cancer patients at risk for chemotherapy induced neutropenia (abstract). Blood 70:135a.
- 33). Morstyn G, Duhrsen U, Campbell L, et. al. Granulocyte-colony stimulating activity in patients with advanced cancer receiving melphalan (abstract). Blood 70, 140a.
- 34). Francis G.E. & Pinsky C. (1987). Clinical trials of differentiation inducing agents: Current trials and future prospects. Chapter in Proceedings of the Second Conference on Differentiation Therapy. Ravens Press, in Press (copy enclosed).
- 35). Francis G.E. & Pinsky C. (1987). Growth and differentiation control. In Cancer Chemotherapy and Biological Response Modifiers Annual 9. Eds. H.M. Pinedo, B.A. Chabner and D.L. Longo. Elsevier Science Publishers B.V., in press.
- 36). Stocks, S.J., Jones, A.J.M., Ramey, C.W. and Brooks, D.E. (1986) Anal. Biochem. 154, 232-234.
- 37). Habeeb, A.S.F.A. (1966) Anal. Biochem. 14, 328-336.
- 38). Bradford, M.N. (1976) Anal. Biochem. 72, 248-254.
- 39). Johansson, G. (1985) in partitioning Aqueous Two-Phase Systems, Theory, Methods, Uses and Applications to

- 43 -

Biotechnology (Walter, H., Brooks, D.E. and Fisher, D. eds.) pp. 161-226, Academic Press, New York.

40). Treffry, T.E., Sharpe, P.T., Walter, H. and Brooks, D.E. (1985) in Partitioning in Aqueous Two-Phase Systems, Theory, Methods, Uses and Applications to Biotechnology (Walter, H., Brooks, D.E. and Fisher, D.eds) pp 132-148 Academic Press, New York.

41). Peters, T. Jr. (1972) in The Plasma Proteins (Putnam, F.W. ed.) 2nd ed., Vol. 1 pp 140-142. Academic Press.

42). Albertson P.-A. (1985). Partition of Cell Particles and Macromolecules. 3rd ed. Wiley, Interscience, New York.

43). Flanagan, S.D. and Barondes, S.H. (1975) J. Biol. Chem. 250, 1484-1489.

44). Harris, J.M. (1985) Rev. Macromol. Chem. Phys. C25, 325-373.

45). Brooks, D.E., Sharp, K.A. and Fisher, D. (1985) in Partitioning in Aqueous Two-Phase Systems, Theory, Methods, Uses and Applications to Biotechnology (Walter, H., Brooks, D.E. and Fisher, D., eds.) pp 11-84, Academic Press, New York.

SUBSTITUTE SHEET

- 44 -

CLAIMS

1. A process for fractionating a mixture of polyethylene glycol (PEG)-protein adducts comprising partitioning the PEG/protein adducts in a PEG-containing aqueous biphasic system.
2. A process according to claim 1 comprising the further step of recovering a PEG-protein adduct of pre-determined degree of PEG substitution from one phase of the biphasic system.
3. A process according to claim 1 or claim 2 wherein the PEG-protein adduct is an adduct of monomethoxyPEG.
4. A process according to claim 3 wherein the monomethoxyPEG adduct is formed by reaction of the protein with 2,2,2-trifluoroethanesulphonyl monomethoxy polyethylene glycol (TMPEG).
5. A process according to claim 4 wherein unreacted TMPEG is destroyed or the adduct-forming reaction is quenched by addition of lysine or albumin.
6. A process according to any one of claims 1 to 5 wherein partitioning is performed batchwise or continuously.
7. A process according to claim 6 wherein partitioning is performed by counter-current flow of the two phases.
8. A process according to any one of claims 1 to 7 wherein the protein is granulocyte-macrophage colony stimulating factor (gm-CSF).
9. A PEG-gm-CSF adduct.
10. A pharmaceutical formulation comprising a PEG-gm-CSF adduct and a pharmaceutically acceptable carrier or diluent therefor.
11. A formulation according to claim 10 wherein

**SUBSTITUTE SHEET**

- 45 -

the diluent of carrier is suitable for injection.

12. A formulation according to claim 11 wherein the diluent or carrier is or comprises sterile water for injection.

13. A composition according to any one of claims 11 to 13 further comprising at least one buffer, isotonic agent, preservative or antioxidant.

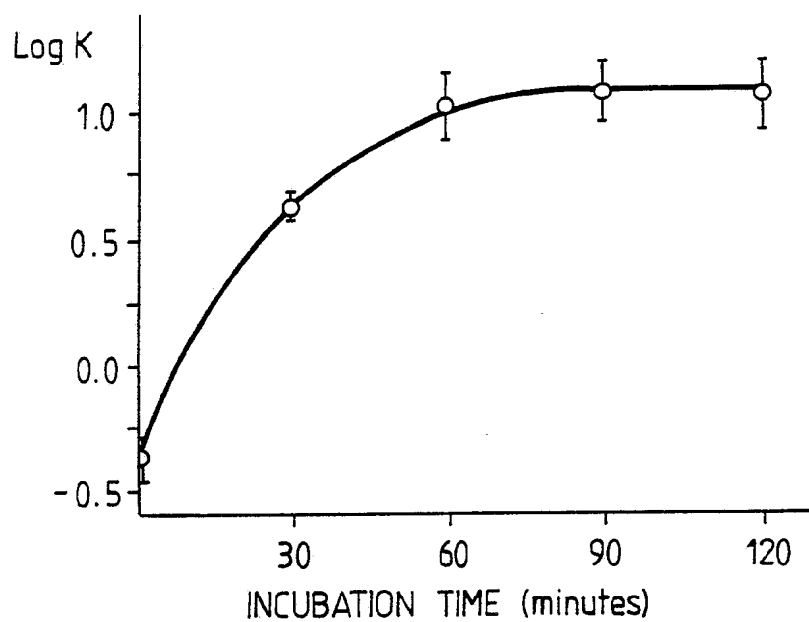
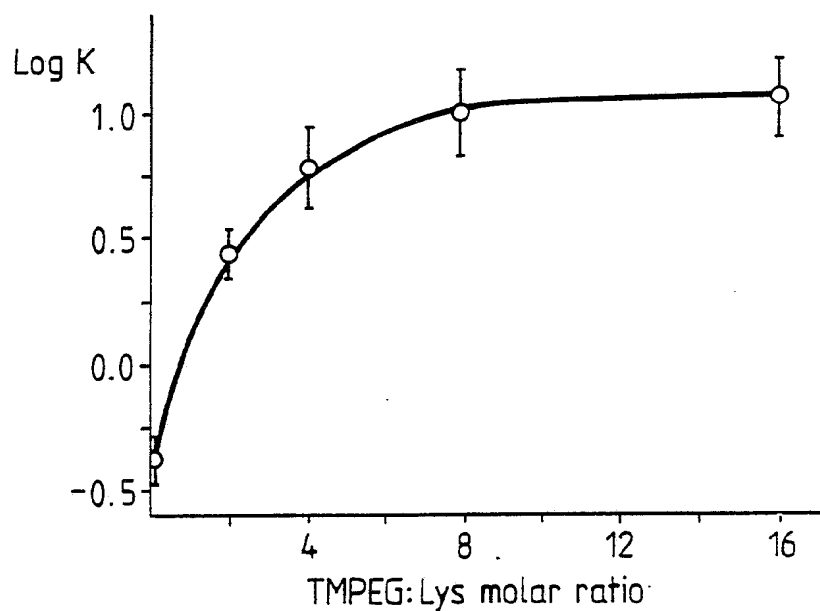
14. A PEG-gm-CSF adduct according to claim 10 or a pharmaceutical formulation according to any one of claims 11 to 13 for use in a method of treatment of the human or animal body or in a diagnostic method practised on the human or animal body.

15. Use of a PEG-gm-CSF adduct according to claim 10 or a pharmaceutical formulation according to any one of claims 11 to 13 in the preparation of a medicament for use in a method of treatment of the human or animal body or a diagnostic method practised on the human or animal body.

16. Use according to claim 15 wherein the medicament is administered by intravenous or subcutaneous injection or infusion.

17. A therapeutic or diagnostic method for the treatment of the human or animal body comprising administering an effective non-toxic amount of a PEG-gm-CSF adduct according to claim 10 or a pharmaceutical composition according to any one of claims 11 to 13 to a human or non-human animal in need thereof.

1/14

*Fig.1.**Fig.2.***SUBSTITUTE SHEET**



2/14

Fig.3.

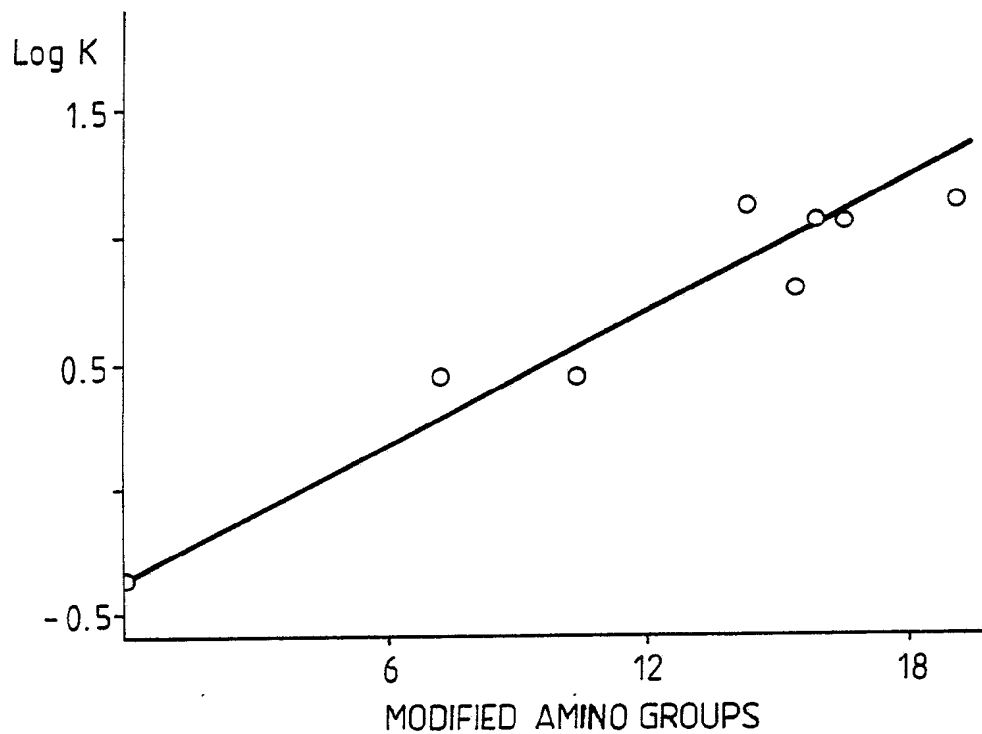
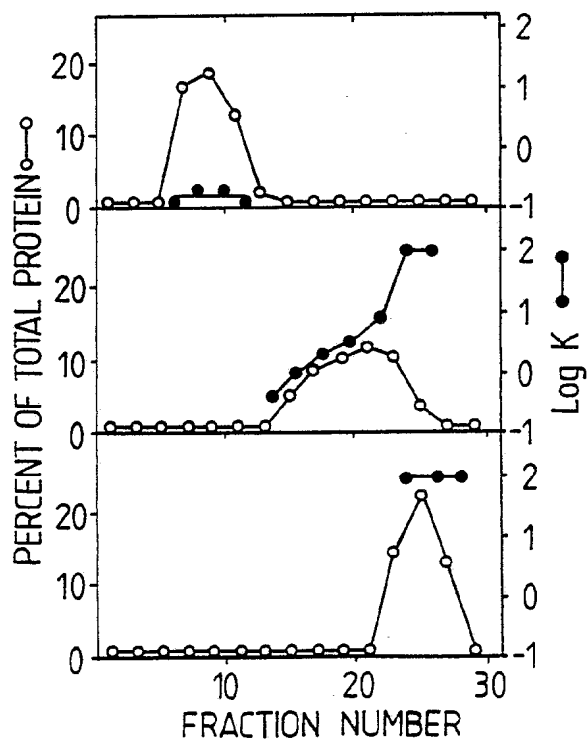


Fig.4.



3/14

Fig.5.

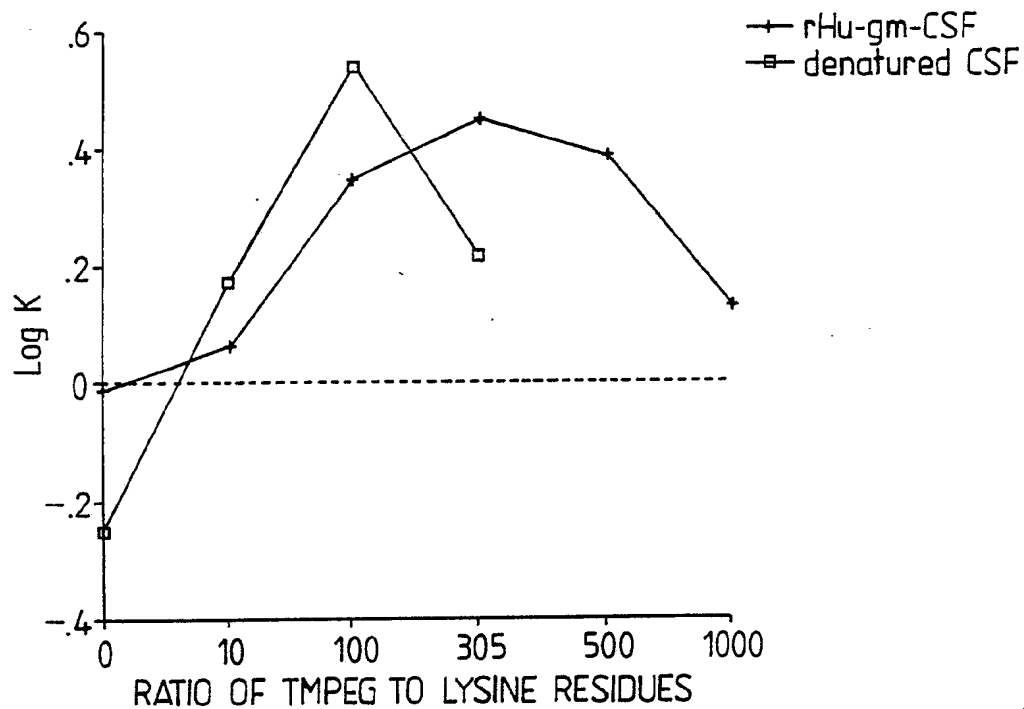
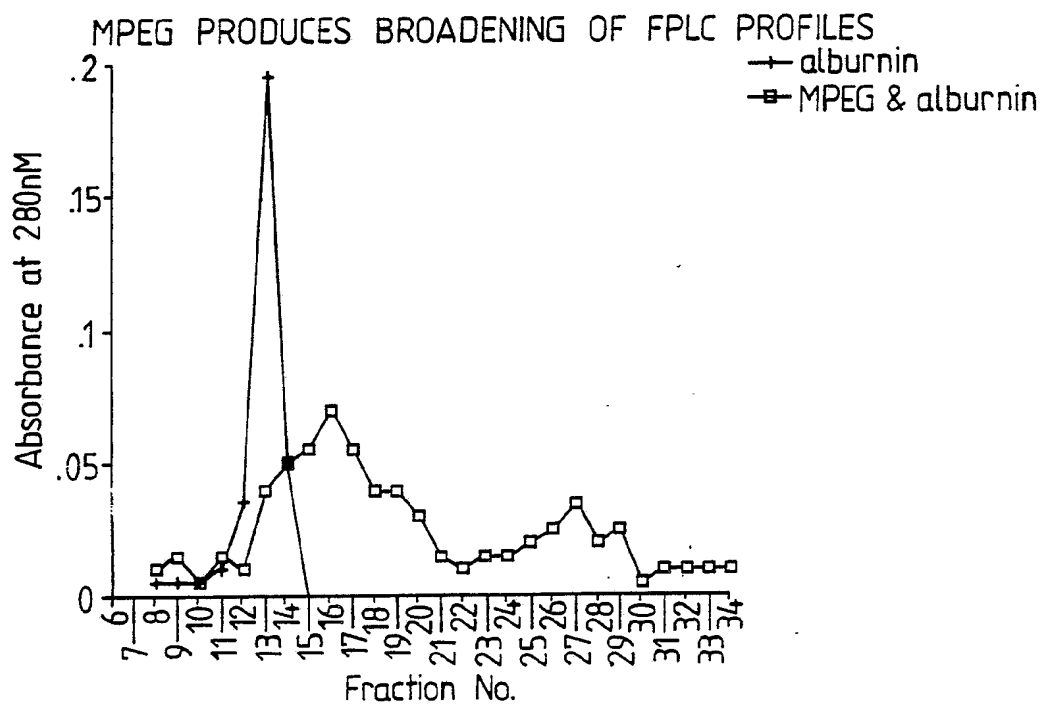


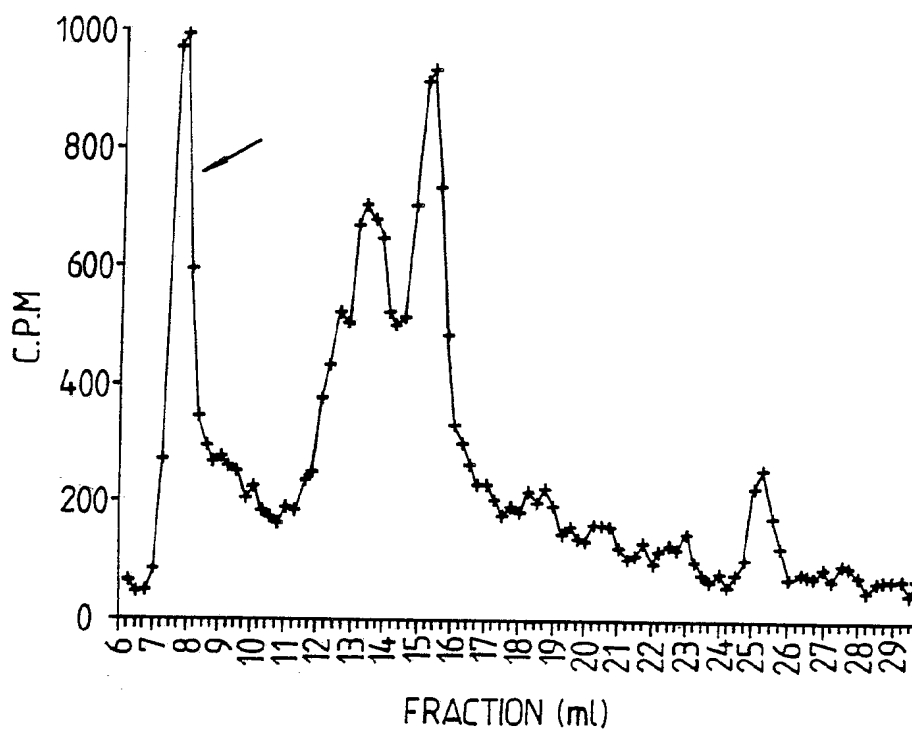
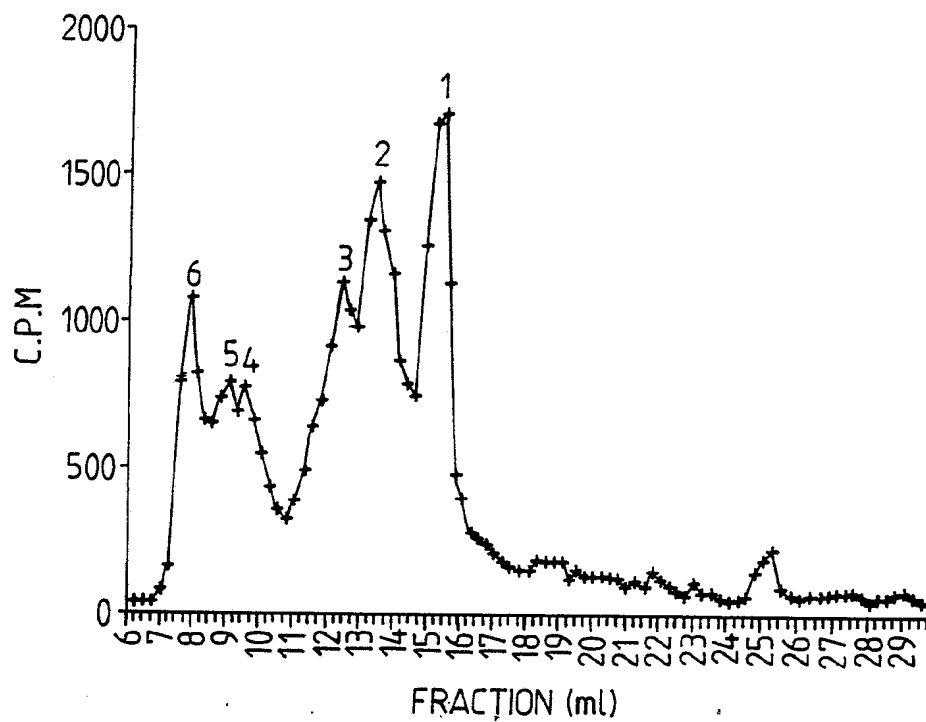
Fig. 8a.



SUBSTITUTE SHEET

4/14

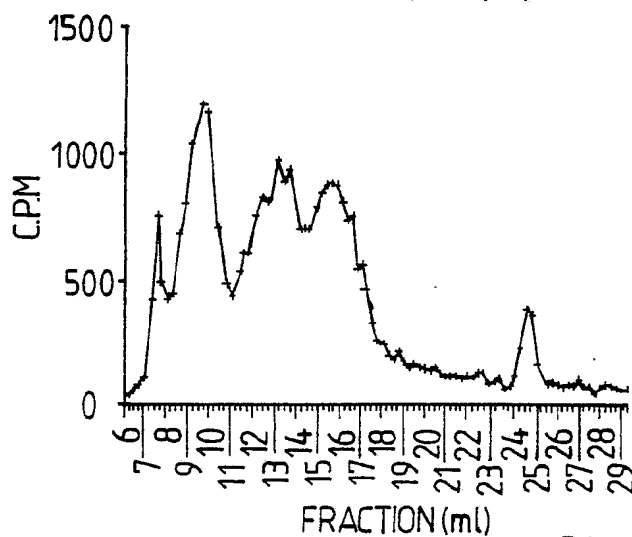
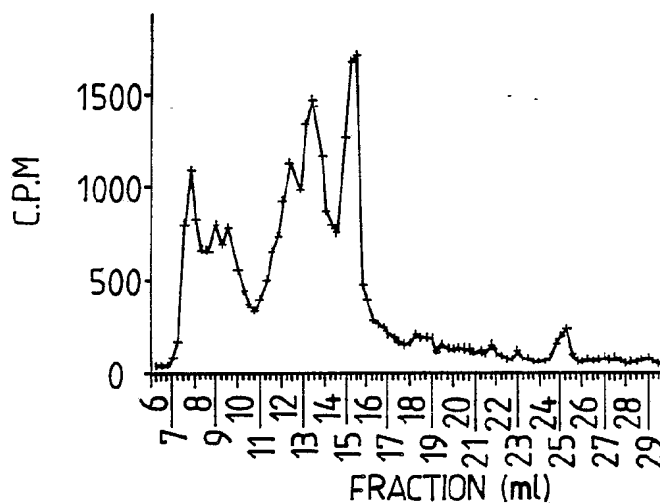
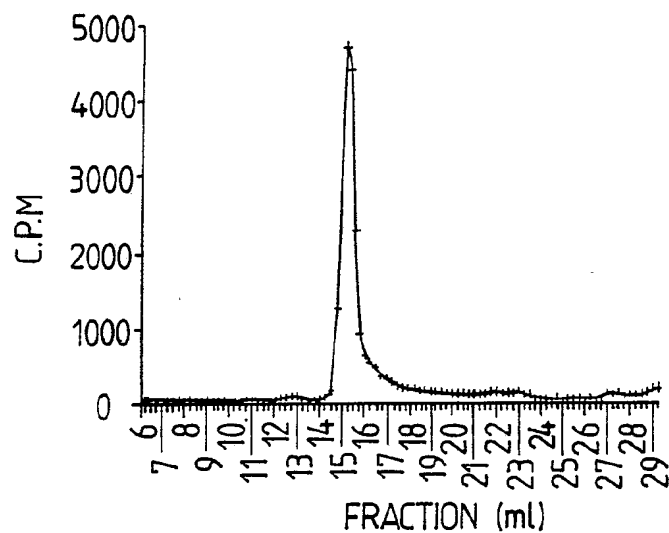
Fig.6.



SUBSTITUTE SHEET

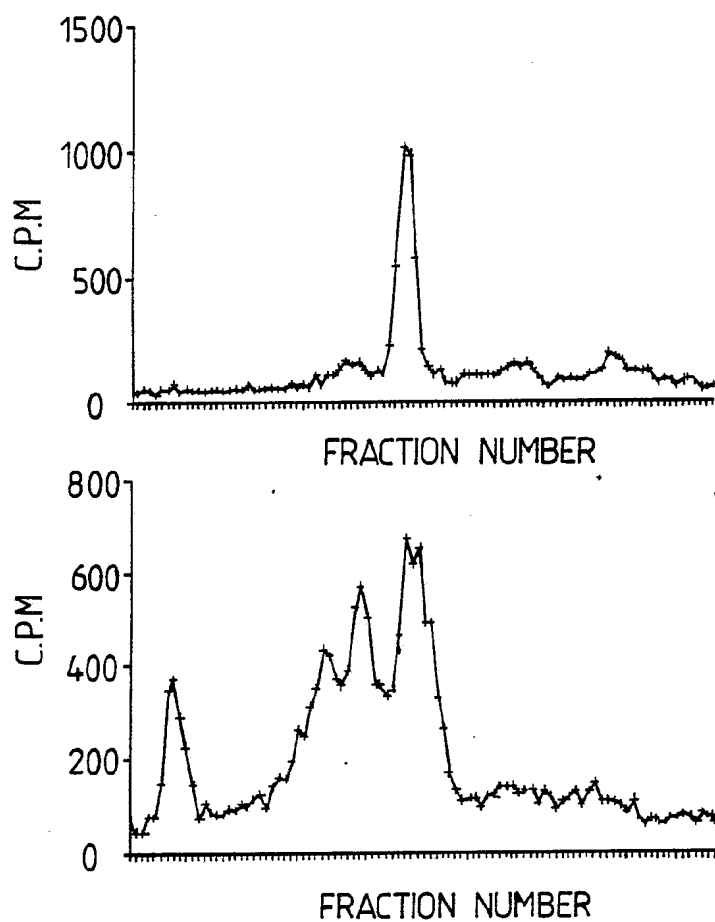
5/14

Fig.7A.



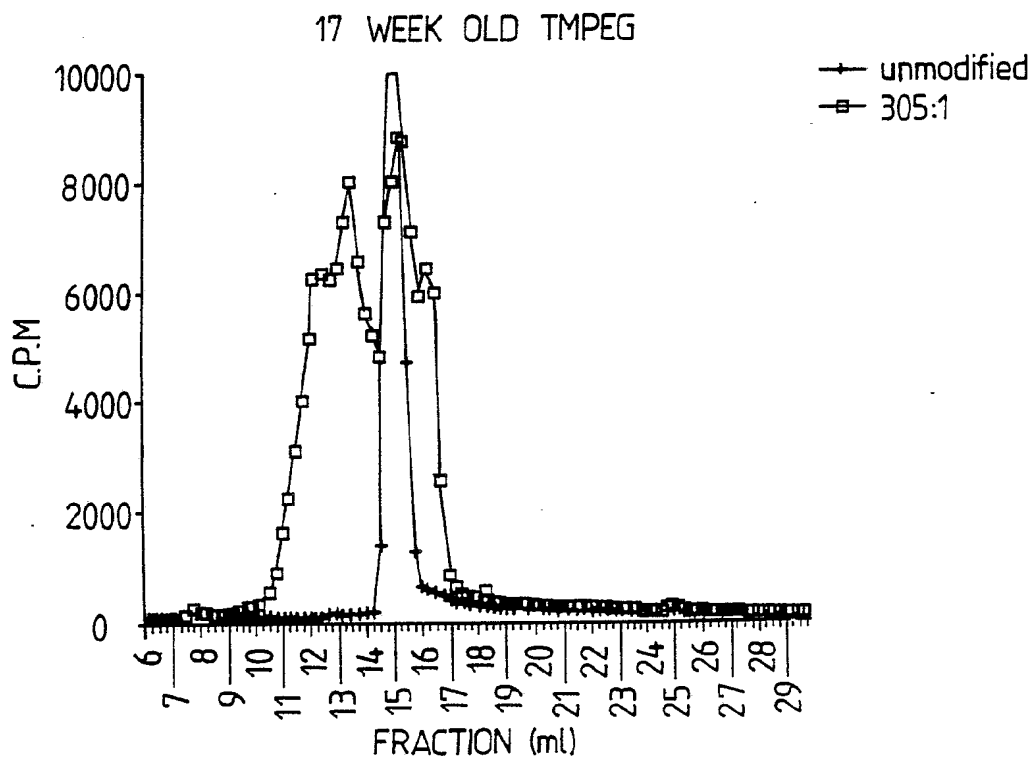
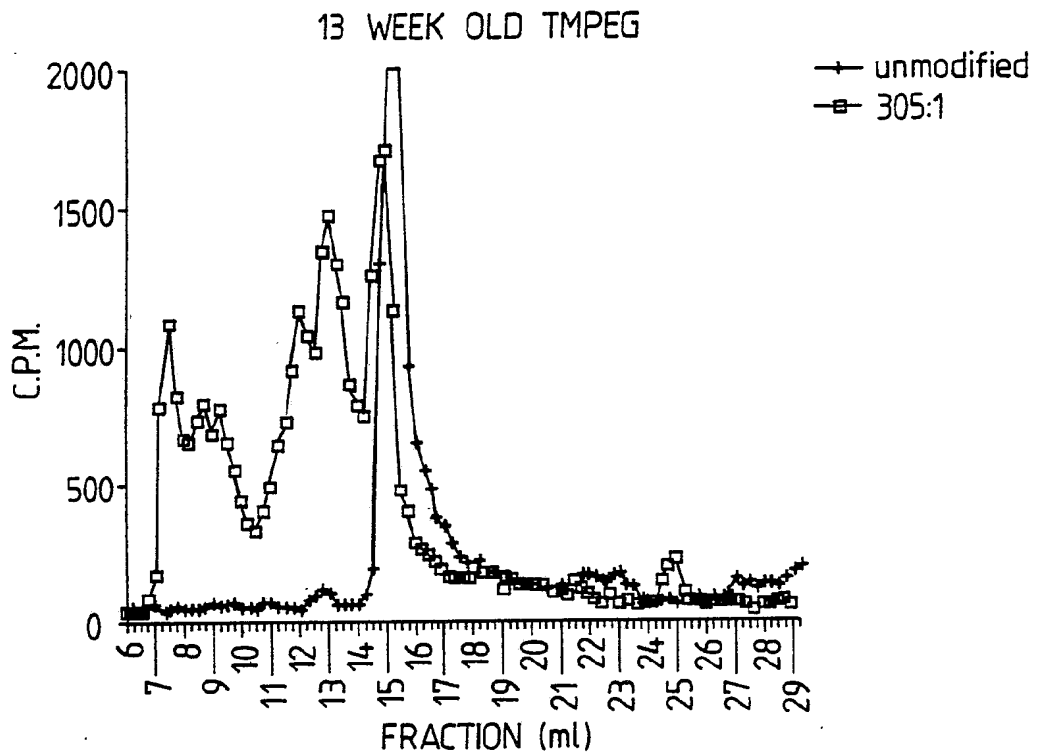
SUBSTITUTE SHEET

6/14

*Fig.7B.*

SUBSTITUTE SHEET

7/14

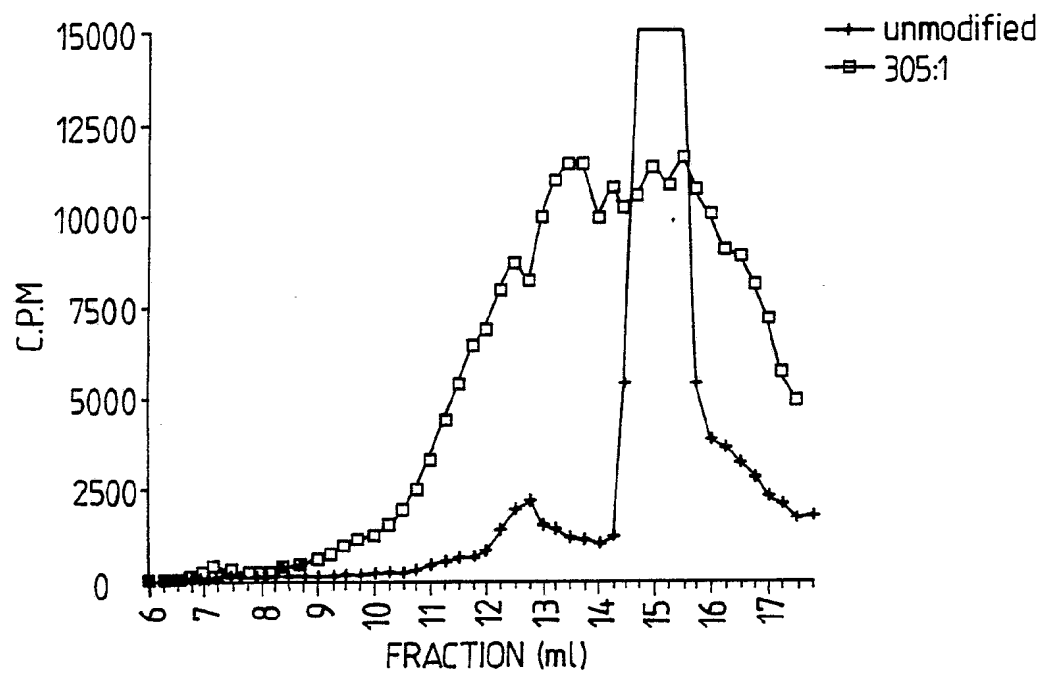
*Fig. 8B.*

SUBSTITUTE SHEET

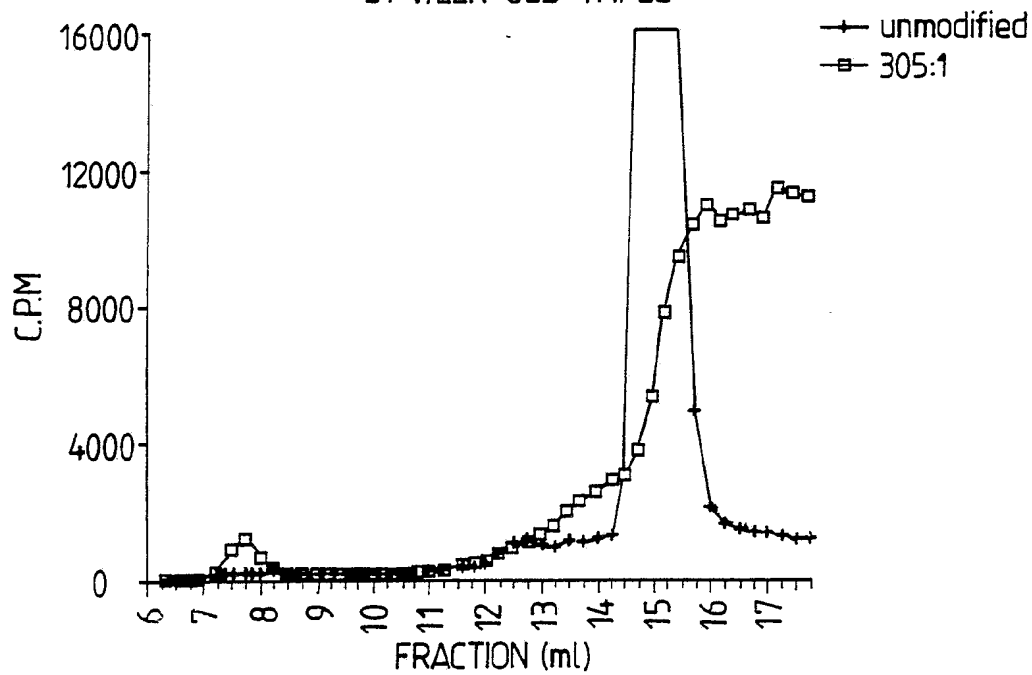
8/14

*Fig. 8B(CONT).*

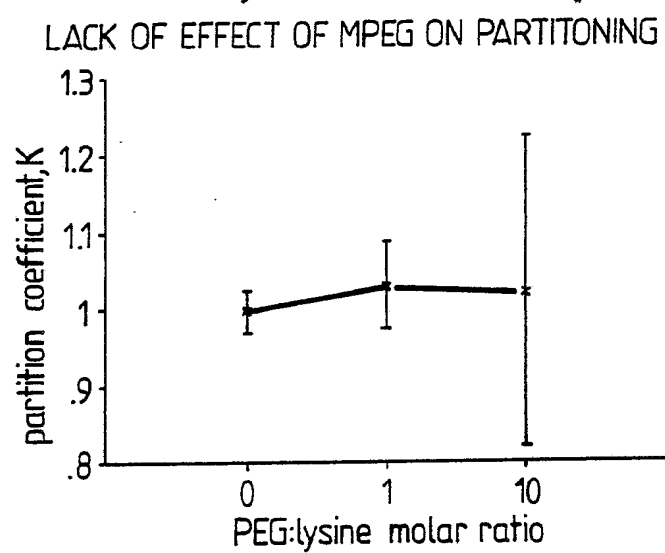
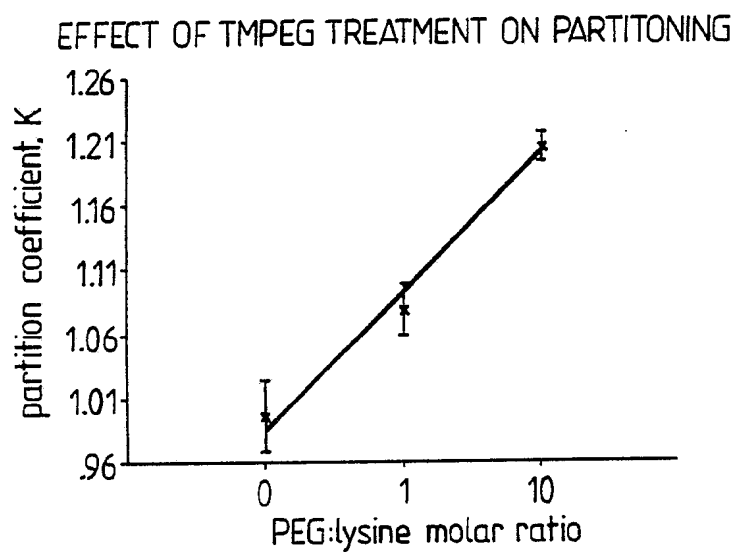
19 WEEK OLD TMPEG



21 WEEK OLD TMPEG



9/14

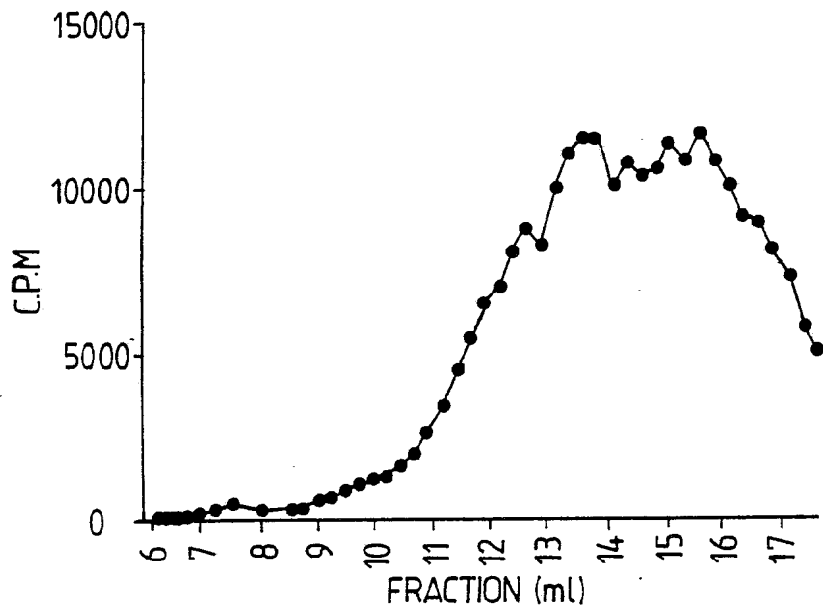
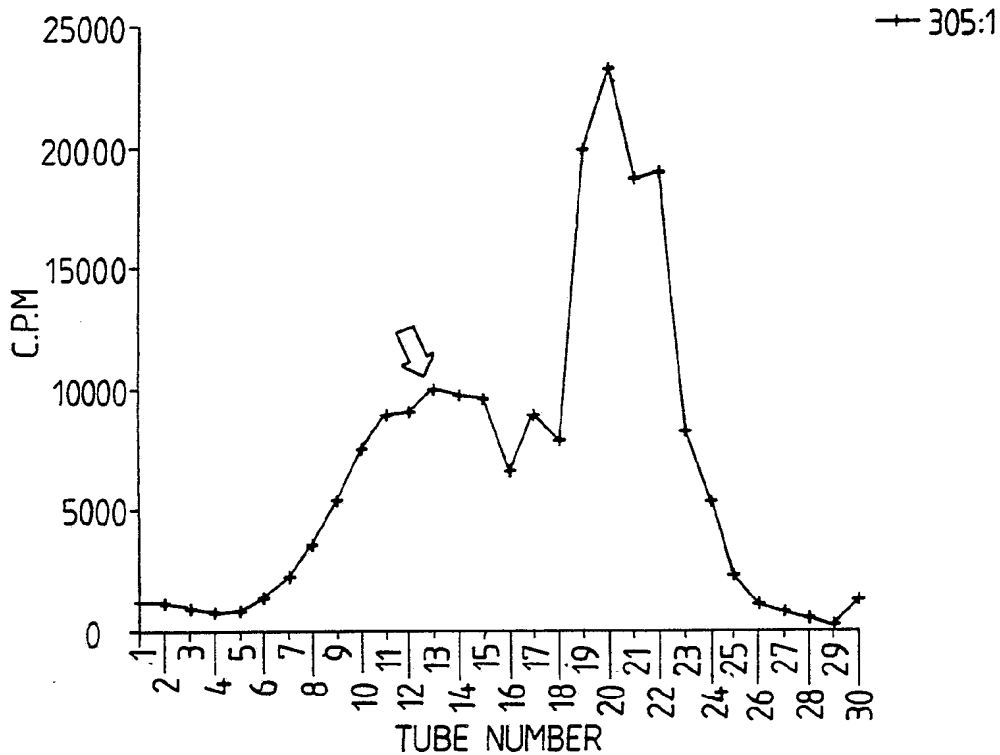
*Fig.9.*

SUBSTITUTE SHEET



10/14

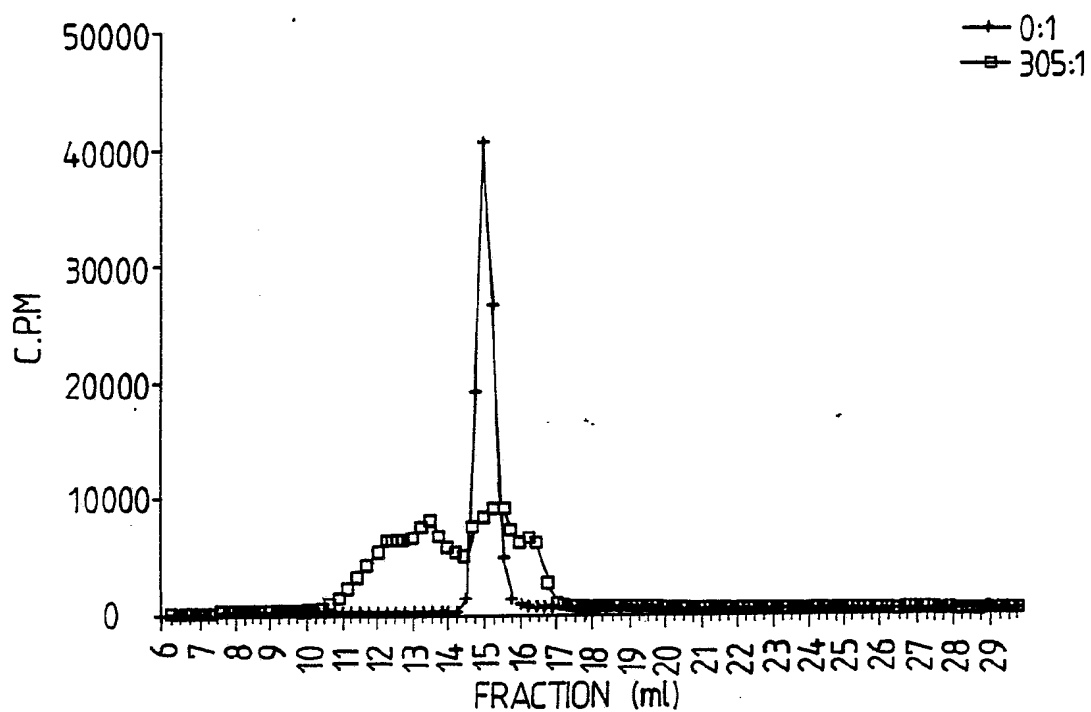
Fig.10.



SUBSTITUTE SHEET

11/14

Fig.11.

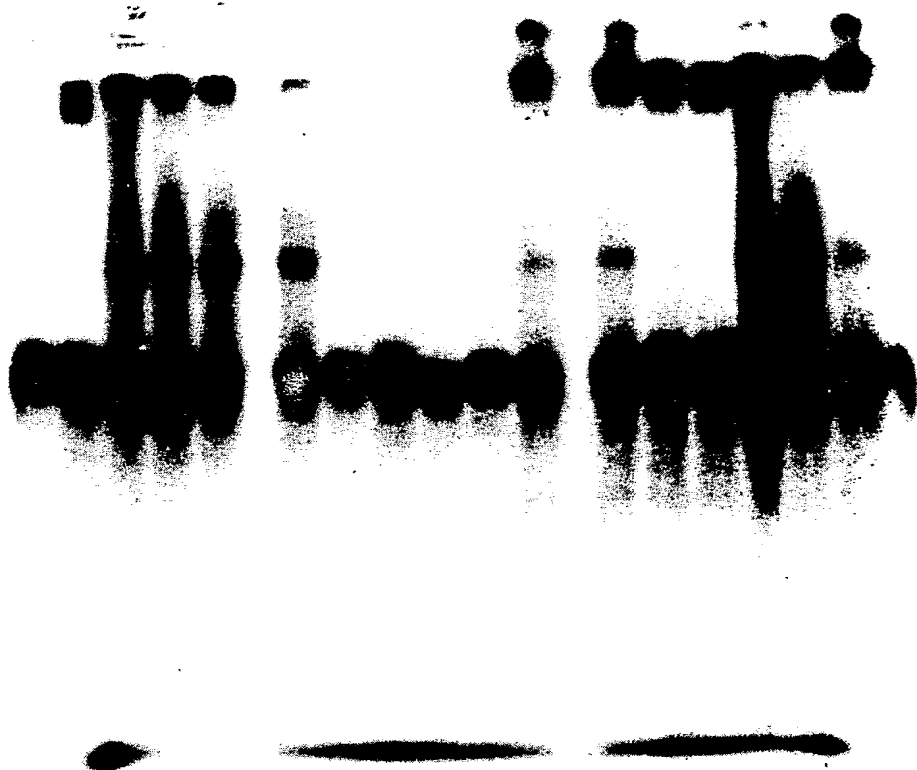


SUBSTITUTE SHEET

12/14

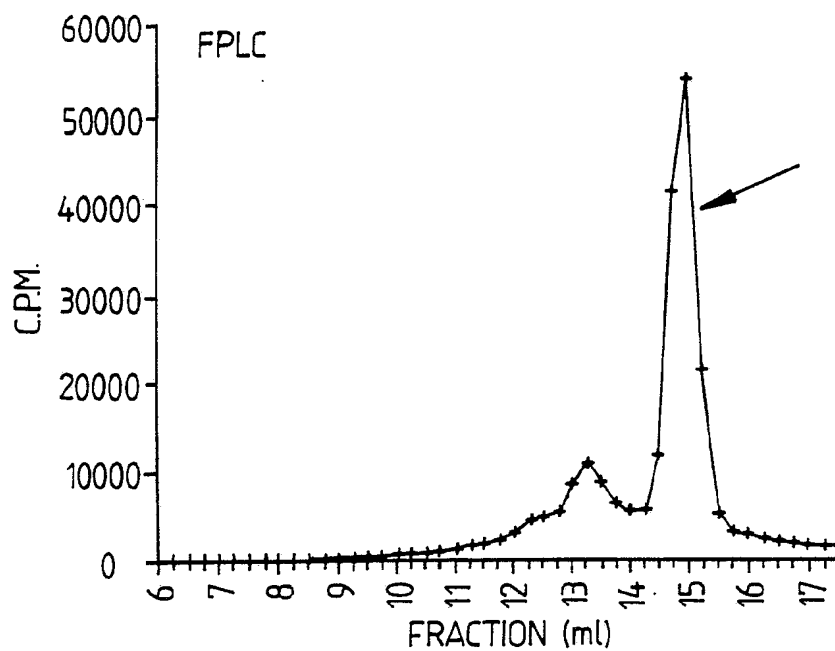
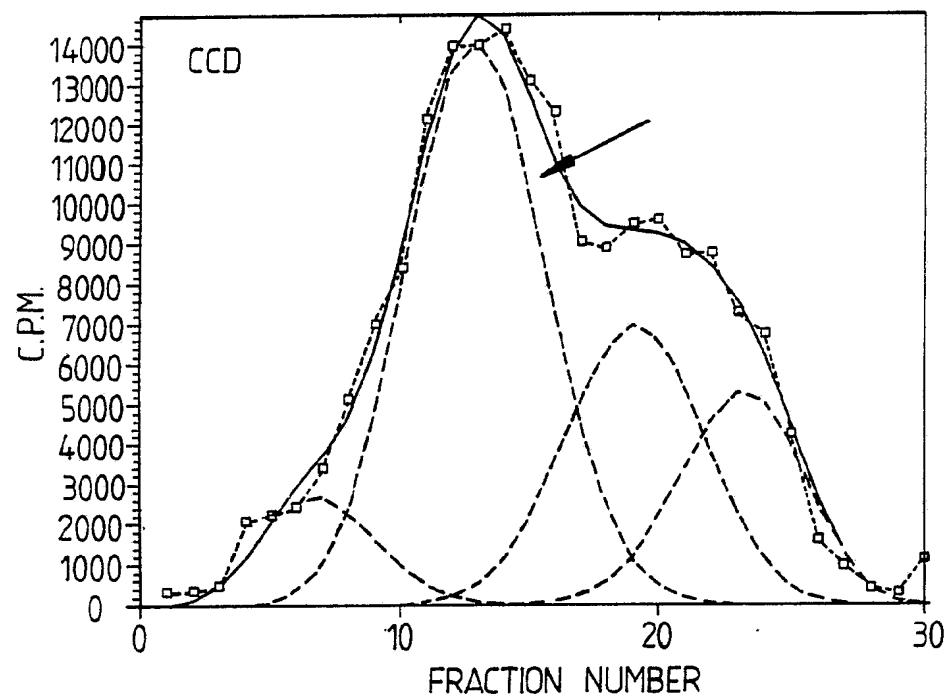
*Fig.12.*

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20



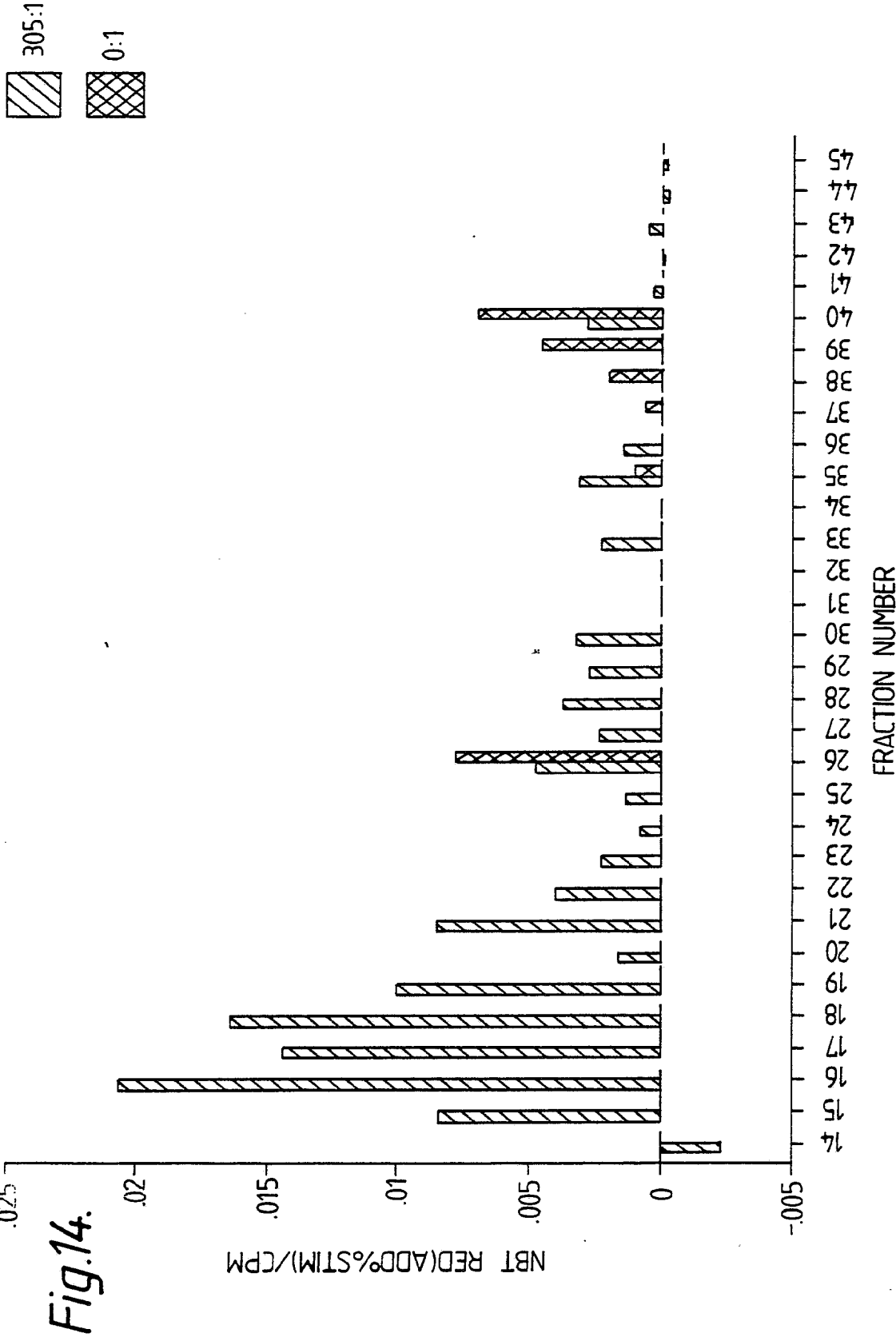
13/14

Fig.13.



14/14

ANALYSIS OF HPLC FRACTIONS OF PEGILATED AND UNPEGILATED GM-CSF



# INTERNATIONAL SEARCH REPORT

International Application No PCT/GB 89/01261

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) * According to International Patent Classification (IPC) or to both National Classification and IPC IPC5: C 07 K 17/08, 3/12, A 61 K 37/02, 47/48														
<b>II. FIELDS SEARCHED</b> <div style="text-align: right; font-size: small;">Minimum Documentation Searched <sup>7</sup></div> <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; border: none;">Classification System</td> <td style="width: 50%; border: none;">Classification Symbols</td> </tr> <tr> <td style="border: none; height: 40px; vertical-align: top;">IPC5</td> <td style="border: none; vertical-align: top;">C 07 K; A 61 K</td> </tr> </table> <div style="text-align: center; font-size: x-small;">Documentation Searched other than Minimum Documentation to the extent that such Documents are included in the Fields Searched *</div>			Classification System	Classification Symbols	IPC5	C 07 K; A 61 K								
Classification System	Classification Symbols													
IPC5	C 07 K; A 61 K													
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <sup>8</sup> <table border="1" style="width: 100%; border-collapse: collapse; font-size: x-small;"> <thead> <tr> <th style="width: 10%;">Category *</th> <th style="width: 70%;">Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup></th> <th style="width: 20%;">Relevant to Claim No. <sup>13</sup></th> </tr> </thead> <tbody> <tr> <td style="text-align: center; vertical-align: top;">X</td> <td style="vertical-align: top;">US, A, 4740304 (F G TJERNE LD ET AL.) 26 April 1988, see especially column 2 lines 34-68  --</td> <td style="text-align: center; vertical-align: top;">1-3</td> </tr> <tr> <td style="text-align: center; vertical-align: top;">X</td> <td style="vertical-align: top;">Chemical Abstracts, volume 109, no. 9, 29 August 1988, (Columbus, Ohio, US), L Karr et al : "Cell separation by immunoaffinity partitioning with polyethylene glycol-modified protein A in aqueous polymer two-phase systems", see page 361, abstract 69845r, &amp; J Chromatogr. 1988, 442, 219- 27  --</td> <td style="text-align: center; vertical-align: top;">1-2</td> </tr> <tr> <td style="text-align: center; vertical-align: top;">X</td> <td style="vertical-align: top;">Chemical Abstracts, volume 90, no. 21, 21 May 1979, (Columbus, Ohio, US), A Chaabouni et al : "Affinity partition of proteins in aqueous two-phase systems containing polyoxyethylene glycol-bound ligand and charged dextrans", see page 232, abstract 164184x, &amp; J Chromatogr. 1979, 171, 135- 43</td> <td style="text-align: center; vertical-align: top;">1-2</td> </tr> </tbody> </table>			Category *	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>	X	US, A, 4740304 (F G TJERNE LD ET AL.) 26 April 1988, see especially column 2 lines 34-68  --	1-3	X	Chemical Abstracts, volume 109, no. 9, 29 August 1988, (Columbus, Ohio, US), L Karr et al : "Cell separation by immunoaffinity partitioning with polyethylene glycol-modified protein A in aqueous polymer two-phase systems", see page 361, abstract 69845r, & J Chromatogr. 1988, 442, 219- 27  --	1-2	X	Chemical Abstracts, volume 90, no. 21, 21 May 1979, (Columbus, Ohio, US), A Chaabouni et al : "Affinity partition of proteins in aqueous two-phase systems containing polyoxyethylene glycol-bound ligand and charged dextrans", see page 232, abstract 164184x, & J Chromatogr. 1979, 171, 135- 43	1-2
Category *	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>												
X	US, A, 4740304 (F G TJERNE LD ET AL.) 26 April 1988, see especially column 2 lines 34-68  --	1-3												
X	Chemical Abstracts, volume 109, no. 9, 29 August 1988, (Columbus, Ohio, US), L Karr et al : "Cell separation by immunoaffinity partitioning with polyethylene glycol-modified protein A in aqueous polymer two-phase systems", see page 361, abstract 69845r, & J Chromatogr. 1988, 442, 219- 27  --	1-2												
X	Chemical Abstracts, volume 90, no. 21, 21 May 1979, (Columbus, Ohio, US), A Chaabouni et al : "Affinity partition of proteins in aqueous two-phase systems containing polyoxyethylene glycol-bound ligand and charged dextrans", see page 232, abstract 164184x, & J Chromatogr. 1979, 171, 135- 43	1-2												
<div style="font-size: x-small;"> <div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <p>* Special categories of cited documents: <sup>10</sup></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 48%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&amp;" document member of the same patent family</p> </div> </div> </div>														
<b>IV. CERTIFICATION</b> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; padding: 5px;">           Date of the Actual Completion of the International Search            19th January 1990         </td> <td style="width: 50%; padding: 5px;">           Date of Mailing of this International Search Report  <div style="text-align: center; font-size: 1.2em;">- 1. 02. 90</div> </td> </tr> <tr> <td style="width: 50%; padding: 5px; text-align: center;">           International Searching Authority            EUROPEAN PATENT OFFICE         </td> <td style="width: 50%; padding: 5px; text-align: center;">           Signature of Authorized Officer  <div style="text-align: right;">C.D. v.d. Vliet </div> </td> </tr> </table>			Date of the Actual Completion of the International Search 19th January 1990	Date of Mailing of this International Search Report <div style="text-align: center; font-size: 1.2em;">- 1. 02. 90</div>	International Searching Authority EUROPEAN PATENT OFFICE	Signature of Authorized Officer <div style="text-align: right;">C.D. v.d. Vliet </div>								
Date of the Actual Completion of the International Search 19th January 1990	Date of Mailing of this International Search Report <div style="text-align: center; font-size: 1.2em;">- 1. 02. 90</div>													
International Searching Authority EUROPEAN PATENT OFFICE	Signature of Authorized Officer <div style="text-align: right;">C.D. v.d. Vliet </div>													

## III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)

Category *	Citation of Document, with indication, where appropriate, of the relevant passages	Relevant to Claim No
P,X	WO, A1, 89/05824 (GENETICS INSTITUTE INC.) 29 June 1989, see claims 5 and 9 --	9-16
P,X	WO, A1, 89/06546 (CETUS CORPORATION) 27 July 1989, see the whole document --	9-16
X	EP, A2, 0098110 (NIHON CHEMICAL RESEARCH KABUSHIKI KAISHA) 11 January 1984, see page 3 line 16 - page 4 line 1; claim 3 --	9-16
X	EP, A2, 0154316 (TAKEDA CHEMICAL INDUSTRIES LTD) 11 September 1985, see especially the abstract and claims 1-4 --	9-16
Y	GB, A, 2193631 (CHUGAI SEIYAKU KABUSHIKI KAISHA) 17 February 1988 see the whole document -----	9-16

## FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET

V. ☒ OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE <sup>1</sup>

This international search report has not been established in respect of certain claims under Article 17(2) (a) for the following reasons:

1. ☒ Claim numbers 17, because <sup>it</sup> they relate to subject matter not required to be searched by this Authority, namely:

Method for treatment of the human or animal body by therapy.  
(PCT Rule 39.1 (iv)).

2. ☐ Claim numbers ..... because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, technically:

3. ☐ Claim numbers....., because they are dependent claims and are not drafted in accordance with the second and third sentences of PCT Rule 8.4(a).

VI. ☐ OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING <sup>2</sup>

This International Searching Authority found multiple inventions in this international application as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims of the international application.
2. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims of the international application for which fees were paid, specifically claims:
3. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claim numbers:
4. ☐ As all searchable claims could be searched without effort justifying an additional fee, the international Searching Authority did not invite payment of any additional fee.

## Remark on Protest

- ☐ The additional search fees were accompanied by applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.



**ANNEX TO THE INTERNATIONAL SEARCH REPORT PCT/GB 89/01261  
ON INTERNATIONAL PATENT APPLICATION NO.**

SA 31931

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report.  
The members are as contained in the European Patent Office EDP file on 08/11/89  
The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US-A- 4740304	26/04/88	DE-A- 3625266	19/02/87
		SE-A- 8503742	09/02/87
		GB-A-B- 2179952	18/03/87
		JP-A- 62096541	06/05/87
		FR-A- 2591606	19/06/87
WO-A1- 89/05824	29/06/89	NONE	
WO-A1- 89/06546	27/07/89	US-A- 4847325	11/07/89
EP-A2- 0098110	11/01/84	JP-A- 58225025	27/12/83
		US-A- 4609546	02/09/86
		JP-A- 59059629	05/04/84
EP-A2- 0154316	11/09/85	WO-A- 85/03934	12/09/85
		WO-A- 85/03868	12/09/85
GB-A- 2193631	17/02/88	DE-A- 3723781	21/01/88
		FR-A- 2601591	22/01/88
		SE-A- 8702907	19/01/88
		NL-A- 8701640	16/02/88
		JP-A- 63146828	18/06/88
		BE-A- 8700787	27/09/88
		CH-A- 671157	15/08/89
		JP-A- 63146827	18/06/88
		JP-A- 63146826	18/06/88